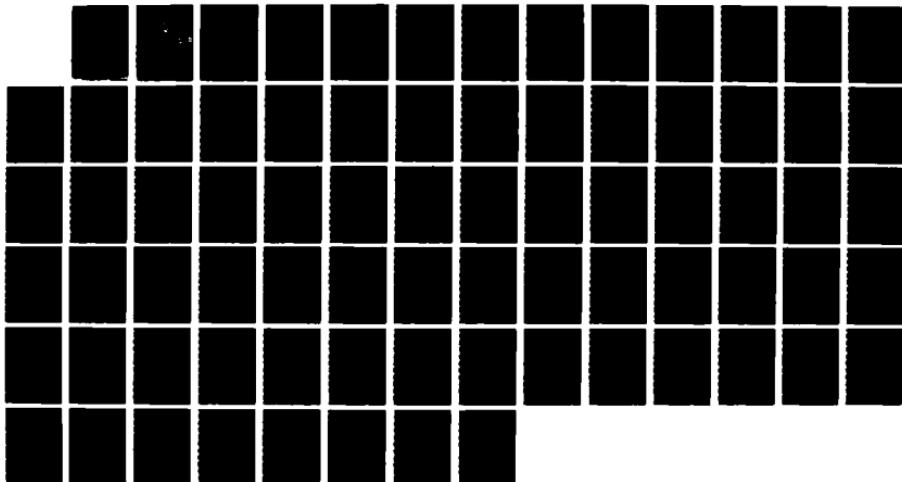
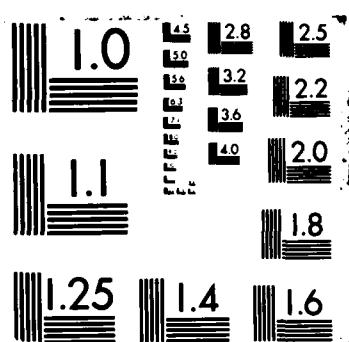


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## THESIS

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A DATA BASE DESIGN  
FOR A MULTIMEDIA C2 WORKSTATION  
IN SUPPORT OF RESA

by

Michael F. Carroll

March 1987

Thesis Advisor

J. S. Stewart II

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A Data Base Design  
For A Multimedia C2 Workstation  
In Support Of RESA

by

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Submitted in partial fulfillment of the  
requirements for the degree of

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## ABSTRACT

This thesis supports the Naval Postgraduate School's (NPS) program to develop a multimedia (text, voice, graphics) command and control (C2) workstation as a Decision Support System to aid players of the Research, Evaluation, and Systems Analysis (RESA) facility. RESA is a Naval wargame that focuses on the battle group/force level operations and command and control decision-making. NPS will interface this workstation with the wargame to provide players the capability to access and analyze game data in order to improve their decision-making ability. The objective of this thesis is to design a data base for the C2 workstation using data extracted from the game blackboard. The design will consist of a Semantic Data Model for the logical representation of the data and a relational data base design implemented on the ORACLE Data Base Management System (DBMS). This design provides a detailed specification of the data base structure and is intended to be used during implementation of the DBMS on the workstation. (Thesis)

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## I. INTRODUCTION

### A. THE MOVE TO DISTRIBUTED COMPUTING

Over the past 15 to 20 years there has been a continuous and steady increase in the development of distributed computer systems. It is generally agreed this was made possible due to the recent advances in very large scale integration technology and the development of effective communications over local and wide area networks. It is now possible to purchase computer systems, which outperform the mainframes of the 1960's for just a fraction of their original cost.

Although technology made distributed computing possible, it is the people and organizations that need the system and service it provides. As organizations expand, they tend to become decentralized. Distributed computing is extremely well suited to companies exhibiting this structure. Distributed computing represents a more natural realization than a system built around a single large processor because it provides the organization functional separability and a nonuniform distribution of the database [Ref. 1: p. 24]. In addition, it potentially offers the user increased reliability, resource sharing, extensibility, and overall better performance. [Ref. 2: pp. 141-142]

This move towards distributed computing may be interpreted that service to the user is becoming more important than hardware utilization. [Ref. 1: p. 38]. Most people are not familiar with computer hardware and software. Their only real interest is in using the computer as a tool in the performance of their job. How useful the tool is depends on the capabilities of the system and processors available. [Ref. 3: p. 38]

Todays high performance, 16-bit microprocessors come close to achieving the performance of minicomputers. In comparison to general purpose microprocessors they have vastly improved memory space, the ability to handle a greater variety of data, powerful arithmetic capabilities, and increased speed of operation. With such capability, operating systems are being designed to run on microprocessors to provide the user with graphic displays, digitized voice, windowing capability, electronic-mail, and many other features. Microprocessors that can support these features, or some combination, are sometimes referred to as multimedia workstations. It is this type of workstation the Navy is trying to develop to enhance existing command and control (C2) systems. [Refs. 4,5: pp. 1, 11]

## B. PROBLEM STATEMENT

Demands on current Navy C2 systems are constantly increasing due to the continuing advances in technology; weapons, surveillance and detection systems. This in turn increases the amount and variety of data C2 systems must handle. How easily this data is accessed, how quickly, and the way the data is presented, directly affects how well the system performs, or more explicitly, how well the C2 system assists the commander in making a decision.

In order to better support the commander, the man-machine interface on the C2 workstation must be more natural and efficient, readily adaptable to individual users, and able to support multimedia information. Yet, the Navy's current C2 workstations only operate with a single system and require specialized training. What they need is a workstation

flexible enough to work with a wide variety of C2 systems; and adaptable enough to meet the requirements of a diverse set of users. (with) . . . . Both voice and keyboard natural language interfaces [Ref. 4: p. 1].

The payoff from such a generic C2 multimedia workstation is a more robust approach to distributed command and control. [Ref. 4: p. 1]

The Naval Postgraduate School (NPS) is currently investigating the use of a generic C2 workstation as a Decision Support System to aid players of the Research, Evaluation, and Systems Analysis (RESA) facility [Ref. 6: p. 1]. This is part of the Office of Naval Technology program NO2CRC32S10 which is a research program in distributed command and control. RESA is a computer-based, large-scale wargame simulation of the Naval warfare environment [Ref. 7: p. 1.6]. It focuses on battle group force level operations and command and control decision making. The Naval Ocean Systems Center (NOSC) has chosen the SUN Microsystems' version of the UNIX operating system along with the Relational Data Base Management System ORACLE to function as the C2 workstation.<sup>1</sup> NPS intends to interface this workstation with the RESA wargame to provide players the capability of real-time data extraction, via a natural language, in order to enhance their decision making abilities.

---

<sup>1</sup>Additional information on the Sun microsystem, UNIX, and ORACLE is provided in Appendix A and B.

This arrangement allows the RESA players, umpires, or analysts, to have access to organized data at nearly the same time it is generated in the RESA system. Real time access to data involving the current tactical situation permits players to analyze this data to improve the quality of their next decision or action in the wargame. It should help players favorably control the outcome of events by reducing the number of bad decisions. Data made available by this system allows umpires to identify trends, preclude misapplication of gaming rules, and in the process to better control the game. In the analysis phase, this workstation provides data which has been obtained in several wargame scenarios to research problems on individual components or weapon systems, and to study command and control relationships in Naval and Joint Tactical Operations.

Installing this workstation is only the first step in NPS's program. There are plans to interface existing HP 9020 workstations onto the Sun/RESA local area network to allow additional users to access the wargame data base and to function as independent command nodes. The long term goal at NPS is to access the Defense Data Network (DDN) and provide connectivity to the RESA facility located at NOSC, San Diego, Ca. This connectivity will allow the study of a class of C2 problems concerning coordination at the headquarters level. In the Navy context, this allows researchers to study problems associated with ship-to-ship distributed command and control and to apply large computing systems to these problems in real time.

### C. STRUCTURE OF THE C2 WORKSTATION

The overall structure of the workstation is shown in Figure 1.1 and depicts the basic modules required to interface the workstation with the wargame, access the data base, and provide the desired natural language interfaces. Module A is the only part of the system that presently exists except for the voice interface. This is being built at Standford Research Institute, Incorporated. The menu selection module is a proposed interim solution until a sufficient natural language module can be designed for the system. This would allow the user to interact with the computer by using the same language structure as when talking to another person. However, true natural language systems are still in their infancy. They require high levels of vocabulary and syntax flexibility, while menu-based systems require less computer memory and appear to be well suited for command and control queries [Ref. 8]. The Naval Ocean Systems

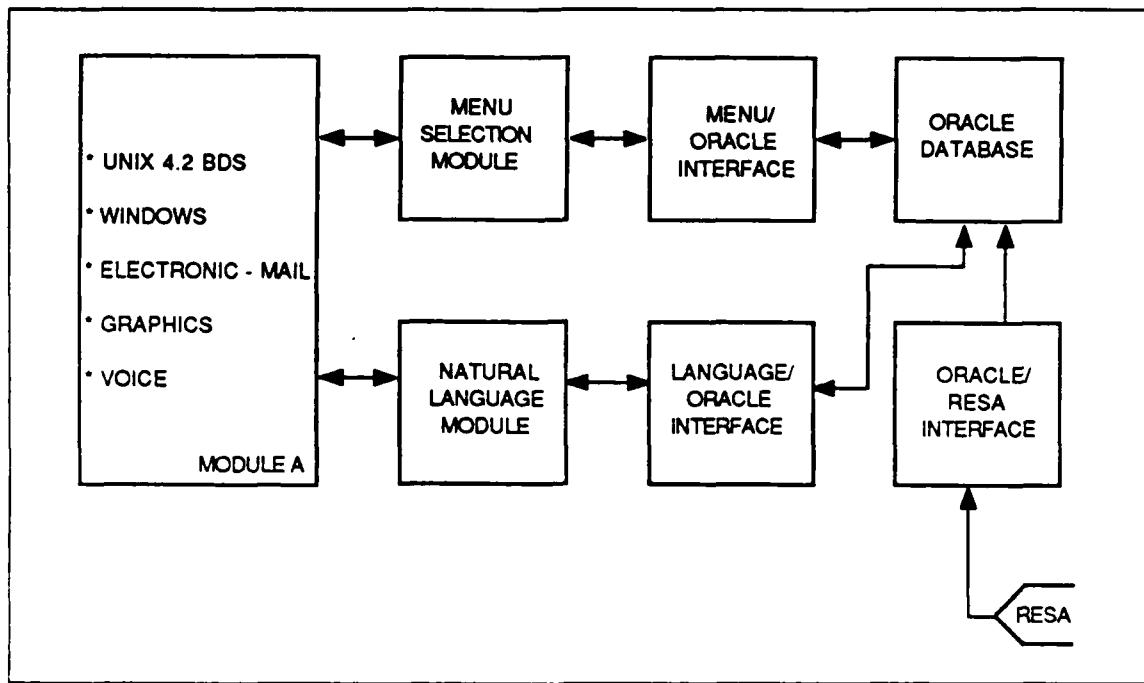


Figure 1.1 Concept of a C2 workstation as a DSS.

Center (NOSC) identified the need for the ORACLE RESA interface module.<sup>2</sup> They have already written the program to extract the data from the RESA wargame, but it will be necessary to reformat the data to make it compatible with ORACLE. After reviewing this structure, the next logical step in implementing this workstation is to develop the ORACLE data base module. The structure and content of the data base must be developed before the rest of the modules can be completed.

#### D. PURPOSE

The purpose of this thesis is to design a data base for the SUN Workstation in support of RESA. The design will include a Semantic Data Model (SDM), which is a logical description of the data, and a Relational Data Base Design, appropriate for implementing on the ORACLE DBMS. In addition, several sample queries, using ORACLE's SQL query language will be constructed to illustrate the types of information the user will be able to extract from the data base.

---

<sup>2</sup>This information was provided via a phone conversation between the author and NOSC.

## **E. SCOPE OF WORK**

The thesis is structured as follows. Chapter II describes the components of a Decision Support System and a Data Base Management System and how they can be used to support C2 systems. Data base processing is the main focus of Chapter III and IV. Chapter III describes the terminology and procedures used to create a Semantic Data Model (SDM). The purpose, characteristics and benefits of the SDM are discussed in detail. The SDM is created for the C2 workstation using data received from the Naval Ocean Systems Center in San Diego, CA. A portion of this schema is described to illustrate the concepts of SDM. Chapter IV identifies characteristics of a Relational Data Base Design, its format and then describes the relational design developed for the C2 workstation. Sample queries on the data base are provided at the end of Chapter IV in order to tie both the SDM and relational data base design together. This is followed by recommendations and conclusions for continued work in this area. Appendices contain information on the Sun Workstation, ORACLE DBMS, the data provided by NOSC, and the complete Semantic Data Model for the C2 workstation. This work does not include implementing the relational data base design using ORACLE.

## II. BACKGROUND

Chapter II provides background information on the structure of Decision Support Systems and Data Base Management Systems. It defines both of these systems and describes their individual components, characteristics, and capabilities. In addition, Decision Support Systems are described in relation to their potential to improve the current command and control decision-making process.

### A. DECISION SUPPORT SYSTEM (DSS)

#### 1. What is a DSS?

As previously mentioned, the Navy is developing a C2 workstation as a Decision Support System to aid players of the RESA war game. Such a system provides the potential for players to use information by filtering, analyzing data, and comparing alternatives [Ref. 9: p. 9]. It will help them make decisions concerning their next move in the game by considering "what if" situations. This is exactly what a DSS is supposed to do.

##### a. *Definition*

A DSS is a computer-based, online, interactive system that helps commanders make key decisions, thereby improving the effectiveness of their problem solving process. It incorporates the experience and instinct of the decision-maker to analyze hypothetical questions before implementing an irrevocable decision. [Refs. 10,11: pp. 24, 4]

##### b. *Components of a DSS*

DSSs are characterized as localized, autonomous systems containing analytical modules having free access to information. They generally consist of a data base management system (DBMS), report generator, a data base query language, graphics package, and special-purpose software [Ref. 10: p. 24]. Conceptually, this breaks down into four basic modules (Figure 2.1): [Ref. 11: pp. 10-13]

1. *Control* - this is the user-interface or front-end of the system. It is usually menu-driven with keyboard inputs and interfaces with the other three modules. It provides prompts and messages to guide the user in formatting the problem and generating a response.
2. *Data storage* - contains all data required by the DSS.
3. *Data manipulation* - this is responsible for retrieving data from the data storage module and producing reports or graphs using the data. It is supported by a nonprocedural query language normally provided by the DBMS.

4. *Model-building* - utilizes optimizing modeling principles, statistical analysis, forecasting algorithms, decision analysis methods, and simulation principles for modeling and simulation purposes.

These four modules must also display certain characteristics to be considered a true DSS.

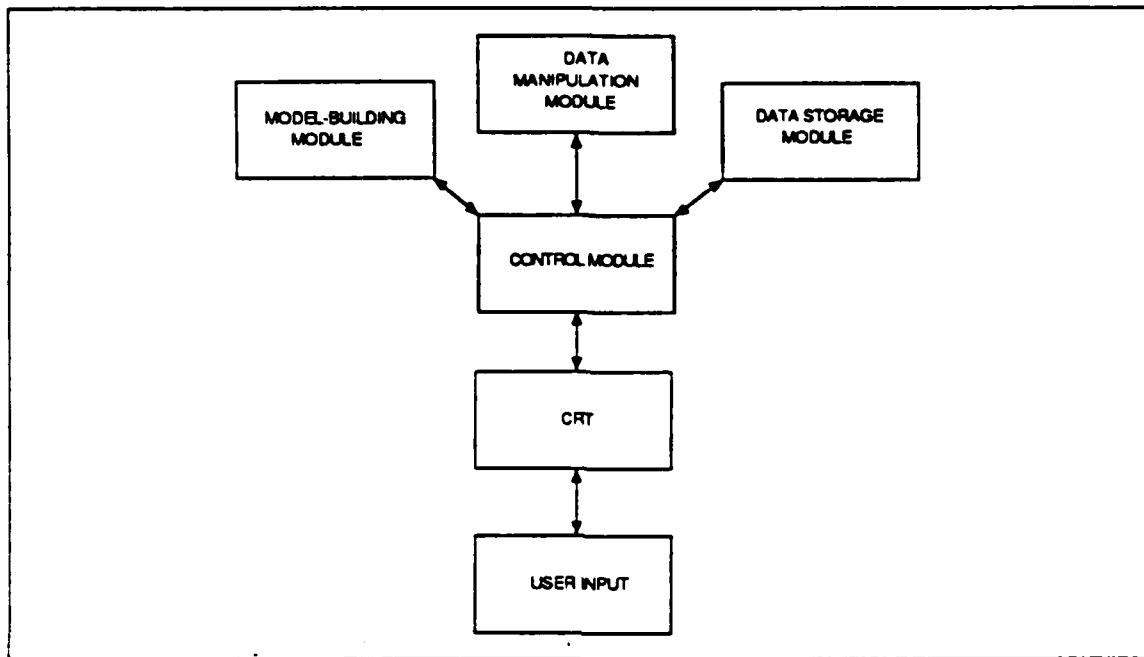


Figure 2.1 Component modules of a DSS.

*c. Characteristics*

Generally speaking, a particular system is only considered a DSS if it provides interactive support for the thought processes of one or more decision-makers. Systems used in this way must exhibit certain characteristics. Among these are: [Refs. 12,13: pp. 15, 77-78]

1. **Flexibility** - a DSS must accommodate and support decisions, under highly varying conditions, on an "ad-hoc" basis.
2. **User-friendliness** - the system is typically designed for a user unfamiliar with computers. If necessary, it can guide users in operating the system.
3. **Natural language capability** - DSSs must communicate in terms familiar to the user.
4. **Timeliness** - speed of response is necessary to maintain continuity of the user's own thought processes.
5. **Enhanced decision-making** - a DSS must exhibit a structure which is understood by the user and reflects his own way of thinking.

6. DSS must be evolutionary - the system must evolve, grow and be easily and quickly modified to meet changing circumstances.

These characteristics are the ideals or norms of the DSS concept. They are necessary for handling a variety of problems a decision-maker may encounter.

## 2. Technical Capabilities of DSSs

### a. Functions

The primary function of a DSS is to assist decision makers in solving "what if" type questions. These consist of unstructured or semi-structured problems related to strategic planning, management or operational control [Ref. 14: p. 8]. Unstructured problems are those activities in which the solution objectives are ambiguous, numerous, and the process required to achieve a solution cannot be specified in advance. It is an activity involving a decision process which is partly routine and partly judgmental. Whereas the routine part can be automated in a computer program, the judgmental aspect is the responsibility of the decision-maker. [Refs. 11,12: pp. 7, 15]

Goal seeking is another major contribution offered by a DSS. By assembling appropriate analytical and simulation models on the system, the decision-maker can pick a desired goal. The DSS then seeks the optimal decision path or set of solutions to arrive at the specified outcome. [Ref. 10: p. 25]

DSSs also perform two other functions for the decision-maker. First, it allows users to manage very large data bases, where the manager may have difficulty in accessing and making conceptual use of all the information.

Determining where to look for a particular piece of information is a nontrivial issue. Actually retrieving it can be a substantial task which complicates and slows the process of command decision [Ref. 15: p. 29].

DSSs reduces this problem by offering real-time data extraction and data manipulation or computation in order to arrive at a solution or suggested alternative. The second function a DSS provides is the ability to handle time-sensitive issues. These issues are especially common on the battlefield. As new technology is introduced to the battlefield, the critical response times required for decisions grow shorter at all levels of the command hierarchy. The human elements of the system are not able to respond fast enough and require some form of automation to assist in their analysis of data and other environmental factors. [Ref. 15: p. 28] DSSs provide this capability and offer the

commander the opportunity to review the problem and choose an acceptable solution in time to meet the immediate threat. DSSs provide this automation for the decision-maker, thereby reducing the time required to make a decision. [Ref. 11: p. 7]

*b. Levels of Support*

There are four levels of support available from a DSS [Ref. 11: p. 9]. These areas were briefly discussed throughout the beginning of this chapter and are summarized here as part of the capabilities provided by the system. They are:

1. Access to facts or information retrieval.
2. Addition of filters to selectively ask for information and give conceptual meaning to data.
3. Ability to perform simple computations, comparisons, and projections.
4. Development of useful models designed to provide the decision-maker with answers they can and will act on.

These functions and capabilities are the reasons why DSS are being developed to assist command and control (C2) systems.

**3. Command and Control DSSs**

To understand why DSSs are being used in C2 systems it is necessary to understand the C2 decision making process. According to Joel S. Lawson, this process consists of five phases: sense, process, compare, decide, and act. The process, compare, and decide phase is where a DSS will be able to help improve the present capabilities of C2 systems. The process function extracts meaning from the signals it receives from the sensors and then generates event and status reports in its assessment of the situation. The compare function evaluates the options by comparing the local environment as seen from the status reports to some other desired state. Based upon this comparison, the decide function then determines what should be done in order to achieve the desired state or goal. [Ref. 16: pp. 24-25]

A DSS is very similar to the C2 decision making process. The system allows quick access to facts and information and filters and displays the information in a representative form (graphics and charts) that give conceptual meaning to the data. This is like Lawson's process function. The system's ability to assist the decision maker in solving "what if" type questions is equivalent to Lawson's compare function, while "goal seeking" is more comparable to Lawson's decide function. The advantage command and control DSS offers the commander is the ability to handle a wide range of problems under varying time constraints. It is this very need for accurate data, and fast and timely decisions in a very volatile and uncertain environment that provides

the justification for DSSs. [Ref. 14: p. 11] However, in order for a DSS to provide the commander non-routine information through "ad-hoc" queries, the system must have a suitable data base management system [Ref. 11: p. 6].

## B. DATA BASE MANAGEMENT SYSTEMS

### 1. Basic Terminology

In order to discuss a Data Base Management System (DBMS) it is necessary to define some basic terms and concepts: A *Data item*, which is also called a field or attribute, is a group of non-random symbols that represent quantities, actions, things, facts, concepts or instructions. A *File* is a collection of related records and a *Data Base* is a collection of files logically related in such a way as to improve access to data. A *Data Base Management System* is a software system capable of supporting and managing an integrated data base. Finally, a *Conceptual Model* or data model is a logical representation of the information (data that has been processed and presented) contained in the data base. [Refs. 17,18: pp. 5, 3]

### 2. What is a Data Base Management System?

#### a. *Definition*

A data base management system is a complex, usually large program that acts as a data librarian which stores and retrieves data from a data base [Ref. 19: p. 3]. Its most attractive feature is its collection of integrated, shareable, and nonredundant data. An integrated data base brings together a variety of data which can be accessed by more than one user. This ability to share data keeps the amount of redundant storage to a minimum. [Ref. 17: p. 5] Simply put, a DBMS is software consisting of a set of tools or features to manipulate and support the data base. The fundamental features provided by a DBMS are: [Ref. 18: p. 4]

1. Centralized control of the data, which implies reduction of data redundancy, shared data, protection of the data base integrity, and enforcement of standards.
2. Data independence: the ability to modify the data base structure without having to modify the programs which use the data.
3. Provision for complex file structures and access paths, such that relevant relationships between data units can be expressed in a natural form.
4. Generalized facilities for storage, modification, reorganization, analysis and retrieval of data. This is done with programming languages, a user query language or both.
5. Security to prevent unauthorized access to stored data.
6. Mechanisms to enable easy recovery or restoration of the data base.

### 3. Organization

The data base organization can be visualized as three separate realms, as seen in Figure 2.2 [Ref. 17: pp.16-17]. The conceptual level is independent of computer hardware. It is a picture of the data base as visualized by the user of the DBMS. There are currently three main types of conceptual data base models available. They are: [Ref. 19: pp. 117, 120, 196]

1. **Hierarchic** - the structure is in the form of a tree with a one-to-many relationship among records. An individual record (node) may only have one owner (parent). It is a subset of network.
2. **Network** - is a collection of records exhibiting either a one-to-many or many-to-many relationship among records. An individual record may have more than one parent.
3. **Relational** - represented in the format of a table called a relation. Rows of the table are file records or tuples and fields of the relations are shown in the columns called attributes.

These models describe the structure and processing of the data base.

The logical level is the equivalent model of the user's view of the data but it is organized in accordance with a particular data base system model, ie. hierarchy, inverted hierarchy, network or relational DBMS. The final level is the physical data base structure realm which represents all data definition directories, access paths and methods, and the actual data itself.

### 4. Composition

The actual composition of a DBMS depends on the vendor. Larger computers can support more elaborate DBMS providing a greater variety of tools. Smaller systems, like microcomputers, restrict the size of DBMS and therefore, reduce the number of tools available. However, there are two major features common to all DBMS. They are the Data Definition Language (DDL) and the Data Manipulation Language (DML). [Ref. 19: p. 191]

DDL is a vocabulary for describing the "schema" and "subschema." The schema is the description of the complete logical data base, and the subschema is the description of a subset of that data base used by an individual computer program. The DDL includes terms for defining records, fields, keys, and relationships. It should also provide the means to express a variety of user views and data base constraints. [Ref. 18: p. 5]

The DML is a vocabulary for describing the processes (retrieving or changing) that can be performed on the data in the data base. There are two types of DML: procedural and nonprocedural. Procedural DMLs use high level programming

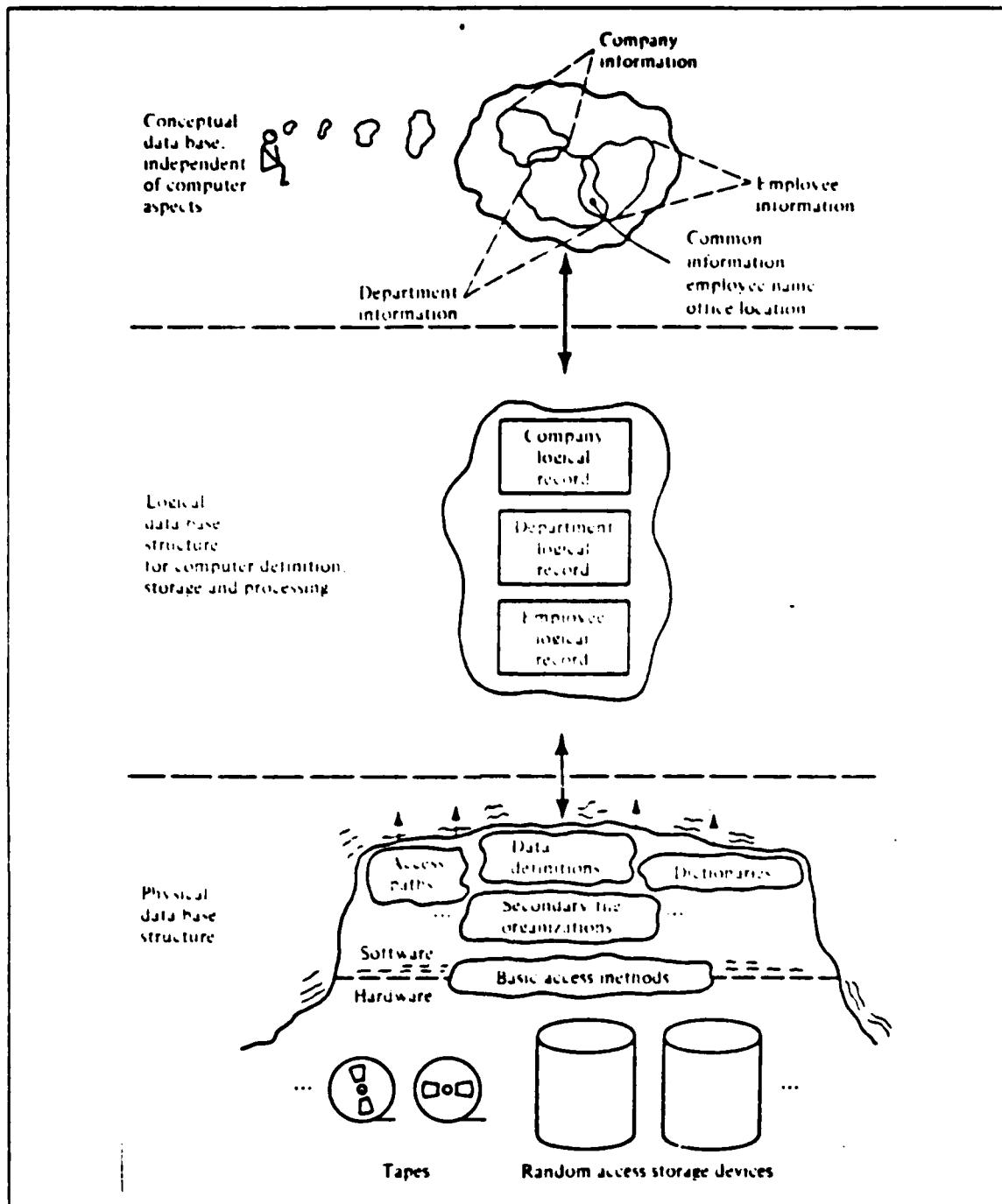


Figure 2.2 Realms of a data base management system.

languages (such as COBOL and FORTRAN) to access the data base. They describe explicitly the actions to be performed on the data base. Nonprocedural DMLs simply

state what is wanted but not how to obtain it. Nonprocedural DMLs are directed towards noncomputer specialists.

### 5. DBMS Utilization

Data base management systems are valuable wherever there is a requirement to manage an integrated data base. Continuing advances in computers have resulted in similar advances in DBMS. They now operate on microcomputers and workstations as well as the large mainframe computer systems. DBMS are used in business applications, the military (such as wargaming and decision support systems), and in research facilities. All three of these areas share the identical problem of trying to manage vast amounts of data in a timely and effective manner. NPS has chosen ORACLE as the relational DBMS for their DSS C2 workstation. Representative data created during play of wargaming scenarios and provided by NOSC, will be utilized as a surrogate for actual operational data. This data provides a wide spectrum of process records for the analyst and system designer alike.

## C. SUMMARY

Chapter II defined DSSs as a computer-based, interactive system that incorporated the experience and instinct of the decision-maker to analyze hypothetical, "what if" type questions. These systems require flexibility, user-friendliness, a natural language capability, timeliness, and evolutionary characteristics. The advantage that a DSS offers to the commander is the ability to improve the process, compare, and decide phases of the command and control decision-making process. In order for a DSS to support "ad hoc" queries from the user, a suitable data base management system is required. A DBMS is defined as a complex, usually large program which stores and retrieves data from a data base. Organizationally, the DBMS consists of three levels; the conceptual (or user's perception of the data base), the logical level (which defines the user's perceptions in terms of a particular DBMS), and the physical level (which contains the actual data itself). Specific applications for DBMSs include wargaming and decision support systems. However, a data base design is required before any system can be implemented and Chapter III describes the methodology used to design such a logical data base design.

### III. A LOGICAL DATA BASE DESIGN USING SDM

This chapter describes the procedures and format used to develop the logical data base for the C2 workstation using a Semantic Data Model. The first section analyzes the data received from the Naval Ocean Systems Center (NOSC). Then, the basic structure and models used in the logical data base design are described with particular attention given to the Semantic Data Model. Specifically, the characteristics, benefits, purpose, and format of the SDM are discussed. Finally, a narrative description of the SDM schema developed for the C2 workstation is provided with a detailed explanation of one of its entity classes.

#### A. OVERVIEW

What actually constitutes a data base design varies from author to author and certain areas tend to overlap. We will use the method suggested by David Kroenke in his book *Data Base Processing* [Ref. 19]. According to Kroenke, data base design is a two-phased process consisting of a logical data base and a physical design. First, the user's requirements are examined and a conceptual data base structure is created to model their organization. This is called the logical data base design. In our case the logical design is represented using the SDM. Once this design is complete, it is formatted in terms of a particular DBMS. In our case this is a relational DBMS since ORACLE is the chosen DBMS for the workstation. This second step is called the physical data base design.

Data base design is an intuitive and artistic process. There is no algorithm for it. [Ref. 19: p. 177] It is an interactive process with the goal to get closer to an acceptable design. The data base is a bridge between people and hardware and the designer must consider both these characteristics. The logical design specifies the needs of the people. The physical design maps the logical design into constraints of a particular program and hardware products. "The goal when developing a data base design is to make only uninteresting questions unanswerable." [Ref. 19: p. 206]

#### B. ANALYSIS OF DATA

The data used in this design was supplied by NOSC in San Diego, Ca. NOSC wrote the computer program to extract this data from the RESA wargame s

blackboard and the data are updated once every minute of game play. The data are run through a TAPE\_PIPE.EXEC computer program, also supplied by NOSC, which separates the data into six associated files corresponding to RESA tables. The common denominators between all files are the game time and associated slot number. These are control numbers used by the computer program as it extracts the data from the blackboard. They also serve a secondary purpose, which is to relate the separated data files to one another. A sample listing of data, their associated fields, and an explanation of their interrelationships is provided in Appendix C. It is this data that will be used to develop the logical data base and relational data base design.

### C. LOGICAL DATA BASE DESIGN

The logical data base design specifies the logical format of the data base. It is sometimes called the schema or logical schema. It identifies the records maintained, their contents and the relationship among the records. The contents of each record contains field names and their required format. As requirements are evaluated constraints on data items are identified. There are three types [Ref. 19: p. 179]. A *field constraint* limits the value a given data item may have. *Intrarecord constraints* limits values between fields within a record and *interrecord constraints* limit values between fields in different records. The level of record detail depends on the designer. There are few records if the model is highly aggregated and generalized or many records if very detailed.

In developing the schema the assigning of data items is relatively straightforward for two-thirds to three-fourths of the record types, but problems do arise. Some of these files may need to be combined or separated, and if this is done, does it make the design more effective? The designer must also allow for growth and anticipate future requirements of the user. A final problem encountered concerns "implied" data. This is an item that is needed to meet a requirement but is not visible to the user. [Ref. 19: p. 182]

The second step in developing the schema is determining the relationships among the records [Ref. 19: p. 182]. The designer must model how the user sees the relationships. This is done intuitively, but in designing relationships, the designer must distinguish between theoretical and useful ones. A theoretical relationship can exist logically but, in practice, may never be needed. "In general, if there is any question regarding whether a relationship is useful or not, then the relationship should be included in the logical schema." [Ref. 19: p. 186]

## 1. Logical Design Structures (Primitives)

Before it is possible to design files and relationships, it is necessary to understand the foundations of data modeling. The data base design is only a representation of reality. It is a model of some activity, a conceptual presentation of real world structures or primitives. [Ref. 19: p. 205]

Primitives in the real world consist of *objects*, *object classes*, *properties*, *property value sets*, *facts*, and *associations*. An object is defined as some phenomena that can be represented by nouns, such as a ship. Object classes (ships) are merely groups of objects formed by generalizations. Properties are the characteristics of these objects and the collection of all values a property can have is called the property value set. The intersection of a given object with a given property value set is called a fact. This concept is illustrated in Figure 3.1. Finally, an association is the connection of objects of the same or different classes and they may also have properties. [Ref. 19: p. 207]

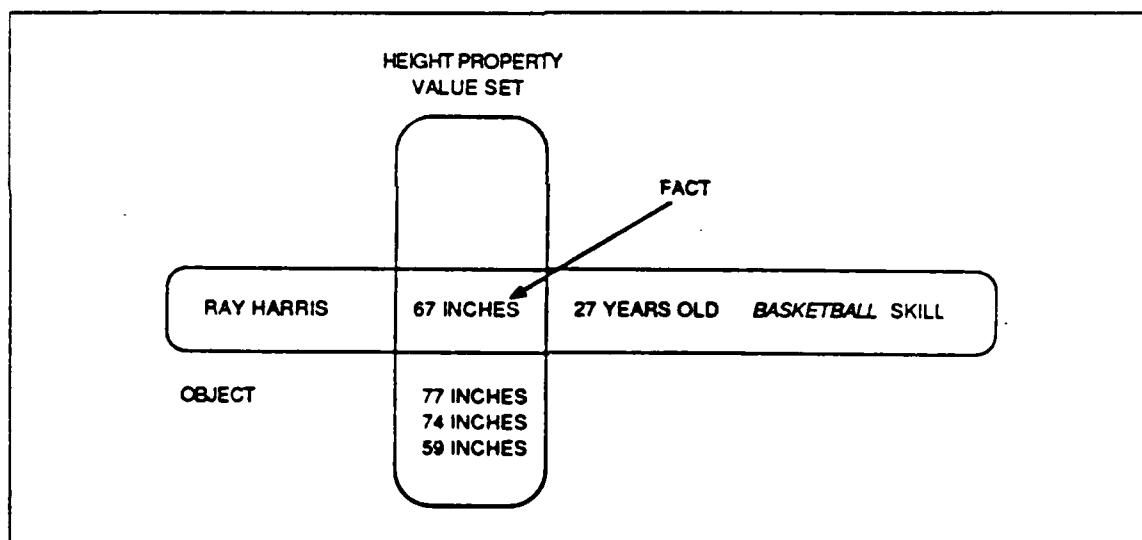


Figure 3.1 Example of primitive fact.

Only a representation of these real world primitives is used in designing a data base. A 74 inch person can be inserted into a car or ship, but not into a data base. Therefore, data base experts have defined a conceptual primitive for each of the real world primitives. They are *entity*, *entity classes*, *attributes*, *domain* (which is the collection of all values an attribute can have), a *value* (which is the intersection of a

given entity with a given domain), and a *relationship*. The equivalences between real world and conceptual primitives is depicted in Figure 3.2 [Ref. 19: p. 209]. These

<i>Real World Primitive</i>	<i>Conceptual Primitive</i>
Object	Entity
Object Class	Entity Class
Property	Attribute
Property Value Set	Domain
Fact	Value
Association	Relationship

Figure 3.2 Equivalencies between real world and conceptual primitives.

conceptual primitives will be used in the development of the logical data base design.

## 2. Data Base Models

All data bases are a model of some system in the real world. The actual data represents events, frozen in time, that occurred in the application environment. Any change to the data base should only occur if a similar change occurred in the environment. This means the structure of the data base should "mirror" the structure of the system it models. It is easier for the data base designer to build and modify the data base when it is based on naturally occurring structures in the environment. This same rationale can be applied to the data base user. If data bases are described in terms and concepts familiar to the user, then it should become easier to understand and employ the data base. [Ref. 20: pp. 351-352]

A data base model is defined as a logical schema "specified in terms of a particular data base description and structuring formalism and associated operations." [Ref. 20: p. 352] These models are important tools for designing both logical and physical data bases. There are many useful models available, such as the Entity-Relationship model, the Relational Data model, and the CODASYL DBTG model. They range from being human-oriented on one side to machine-oriented on the other. All these models have one thing in common; they describe the structure and processing of a data base.

The Semantic Data Model (SDM), as developed by Hammer and McLeod and first published in 1981 [Ref. 20], will be used for the development of the logical data base design. This model is human oriented, and because of its semantic nature, SDM

is recommended for logical data base design. Hammer and McLeod do not believe the data structures provided by contemporary data base models, such as those listed above, adequately support the design, evolution, and use of complex data bases. They are significantly limited in their capability to express the meaning of the data base to its corresponding application environment.

The semantics of a data base defined in terms of these mechanisms are not readily apparent from the schema; instead the semantics must be separately specified by the data base designer and consciously applied by the user [Ref. 20: p. 352].

We believe that SDM provides better facilities (than models like the Relational Data Model or the Entity-Relationship Model) for expressing meaning about data, avoiding confusion, and documenting design decisions and constraints [Ref. 19: p. 194].

### **3. Goal and Benefits of SDM**

The goal of SDM is to enable the data base designer to directly incorporate more meaning and relationship about the data into the logical schema. It serves as a modeling mechanism to express the natural structure of the environment in the structure of the data base design. SDM provides several benefits to the user. First, it is a formal technique to describe meaning about the data base. It provides precise documentation of the data and it is a communication medium which allows the user to determine what information is contained in the data base. Second, SDM allows the construction of user interfaces to the data base which improves the process of identifying and retrieving relevant information. These can be front-ends to existing data base management systems (DBMS) or query languages for new DBMSs. An additional benefit of SDM is it supports effective and structured design of data bases. [Ref. 20: pp. 352-353]

### **4. Design Criteria of SDM**

The Semantic Data Model was developed by Hammer and McLeod to meet a number of criteria not met by the contemporary data base models. They felt the data base should be designed to provide specific meaning to a large portion of the data base. In addition, it should support a view related to the meaning of the data base and have a structure which supports different ways of viewing the same information. This requires a data base model be

1. Flexible - allow for multiple and coequal views of data

2. Logically redundant - values of components can be derived from other components in the data base
3. Integrated - this describes the relationships of a data item viewed in different ways

The last essential criteria for SDM is it must be able to describe relevant entities (which represent objects in the real world environment), groups of these entities, their relationships, and the interrelationships among the groups. [Ref. 20: pp. 353-354]

### 5. Structured Format of SDM

We will now discuss the SDM format which is displayed in Figure 3.3 [Ref. 19: p. 213]. A data base is viewed as a collection of entities that correspond to

<p><b><i>ENTITY_CLASS_NAME</i></b></p> <p>description: -----</p> <p>interclass connection: -----</p> <p><b><i>member attributes:</i></b></p> <p style="padding-left: 20px;"><b><i>Attribute_name</i></b></p> <p style="padding-left: 40px;"><b><i>value class:</i></b> -----</p> <p style="padding-left: 40px;">mandatory</p> <p style="padding-left: 40px;">multivalued, no overlap in values</p> <p style="padding-left: 40px;">exhausts value class</p> <p style="padding-left: 40px;">not changeable</p> <p style="padding-left: 40px;">inverse: <i>Attribute_name</i></p> <p style="padding-left: 40px;">match: <i>Attribute_name</i> of ENTITY_CLASS on <i>Attribute_name2</i></p> <p style="padding-left: 40px;">derivation: -----</p> <p><b><i>class attributes:</i></b></p> <p style="padding-left: 20px;"><b><i>Attribute_name</i></b></p> <p style="padding-left: 40px;">description: -----</p> <p style="padding-left: 40px;"><b><i>value class:</i></b> -----</p> <p style="padding-left: 40px;">derivation: -----</p> <p><b><i>identifiers:</i></b></p> <p style="padding-left: 20px;"><i>Attribute_name1 + (Attribute_name2 + ... )</i></p>
--

Figure 3.3 SDM format of entity class description.

the actual objects in the application environment. These entities are organized into classes that are meaningful collections of entities. The class name identifies the class of entities and must be unique with respect to all other class names in the schema. The description defines the purpose and content of the class. It describes the specific nature

of the entities and indicates their significance and role in the application environment. [Ref. 20: pp. 355-356]

The class is composed of a collection of members or entities. These entities correspond to various kinds of objects in the application environment such as concrete objects, events, categorizations or syntactic identifiers (STRINGS). STRINGS are any character string which the designer wants. There are two types of attributes which describe the members of the class or the class as a whole: a member attribute or class attribute. The member attribute describes an aspect of each member of a class by logically connecting the member to one or more related entities in the same or another class. The class attribute describes the property of a class as a whole and not any particular member. [Ref. 20: pp. 356-357]

A class can either be a base class or a nonbase class. A base class is defined independently of all other classes whereas a nonbase class does not have an independent existence, but is defined in terms of one or more other classes. These nonbase classes are related by means of an interclass connection which describes how the class is constructed. There are two types; a subclass connection is a class that contains some but not necessarily all of the members of another class and the grouping connection has members that may themselves be viewed as classes. [Ref. 20: pp. 357-358]

Data base entities and classes have attributes that describe their characteristics and relate them to other entities. These member attributes have value classes which may be an entity in the data base or a collection of such entities. The value class contains the permissible values of that attribute and may be derived from other values in the data base. There may or may not be constraints and relationships on member attributes. If any, they are defined as follows.

1. ***multivalued*** - this is like a repeating field, there is more than one. For example, a ship may be described as a particular type such as a destroyer. This would be considered singlevalued since it can not be defined as any other type. However, this same ship may have many kinds of weapon systems. Therefore weapons, if listed as a member attribute would be multivalued.
2. ***Mandatory*** - the null value is never accepted. Type from the previous example can be thought of as a mandatory constraint. Every ship must be described by type, cruiser or destroyer, whenever it is listed in the data base.
3. ***Not changeable*** - the value of an attribute must remain the same. This means the value, once set, cannot be changed except to correct an error. If the type of ship listed was wrong, then the value can be changed.
4. ***Exhaustive*** - every member of the value class must be used. For example, if engines were listed as a member attribute of an entity class called SHIPS, then every engine entity listed must be an engine on some ship.

5. **Non overlapping** - a member of the value class can be used at most once or not at all. If the engine of the entity class SHIPS were specified as non-overlapping, then this means that any engine listed can be in only one ship.
6. **Inverse** - this causes two entities to be contained in each other. For example, if two entity classes were defined as PITCHERS and RECIPES then these would be inverses if a member attribute from each entity class had a value class listed as PITCHERS and RECIPES. Let us assume that *kind\_of\_recipe used* is a member attribute of PITCHER and its value class is RECIPES, and *pitcher recipe used in* has a value class of PITCHERS. These then are considered to be inverse of each other.
7. **Matching** - a member of one entity class is matched with a member of another entity class. This means the value of an attribute in one of the members is moved to the other member in another entity class. As an example, let SHIPS and ASSIGNMENTS be defined as entity classes with member attributes of *Captain* and *Officer* respectively. If *Captain* is matched to *Officer* of ASSIGNMENTS then the value of *Captain* is determined by the value of *Officer* from ASSIGNMENTS for a particular ship.
8. **Derivations** - these are statements clarifying how to derive an attribute. They can also be used to specify relationships among members in the same entity class. An example is a class attribute of *total number of ships*. This is then derived by summing the total number of ships listed in the entity class SHIPS.

Finally, the identifiers are unique keys which identify individual records. This is the format used to develop the SDM schema described in the next section and Appendix D. [Refs. 19,20: pp. 213-224, 363-365]

#### D. DESCRIPTION OF THE SDM SCHEMA FOR THE C2 WORKSTATION

The identification of the data extracted from the RESA wargame has already been completed by the Naval Ocean Systems Center (NOSC). We transcribe the data into an SDM schema and tailor it to meet the needs of NPS, occasionally deleting certain items which seemed to have no real value to the user.<sup>3</sup> The information in this process was obtained from the blackboard in the RESA data base, while constraints on the data were identified by evaluating the sample output data supplied by NOSC. The data are broken down into six basic areas or entity classes based on the type of information available to the user. These classes are:

1. **Active units**- this describes all units, whether hostile or friendly, which are participating in the wargame scenario. Units can be ships, shore bases, aircraft, submarines or cruise missiles.
2. **Remote detections**- contains the apparent latitude and longitudinal position of all detected targets such as aircraft or cruise missiles.
3. **True position**- this entity class provides ground-true latitude and longitudinal position for each active unit in the game.
4. **Inflicted damages**- identifies what unit was damaged, the time it occurred, and which general areas sustained damage. For example, it will indicate if a ship is sinking or its maximum speed possible after being damaged.

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<sup>3</sup>Those items deleted were discussed with personnel at NOSC to confirm their intended use in the data base.

5. **Dynamic information** - contains specific information for each active unit. It includes the percent of damage suffered by a unit and the amount of equipment remaining after being damaged. In addition, it provides an accounting for weapon expenditures during combat operations by keeping track of the number of missiles remaining and other similar items.
6. **Engagement data**- provides information on aircraft and ships engaged in combat operations. It includes calculated probabilities on aircraft air-to-air missile strikes and on ship launched missiles for interception of incoming cruise missiles. It defines the current position of both the attacking unit and target and the status of the target after the engagement i.e., whether it was hit or destroyed.

#### **E. DESCRIPTION OF SDM ENTITY CLASS ACTIVE\_UNITS**

It should be noted that certain formats in the domains of the value classes listed in this schema were changed from those originally specified in the RESA blackboard. This was done to accommodate the players of the wargame, since this workstation will also be used as a DSS to interact with the game on a real time basis.<sup>4</sup> For example, it will be much easier to read the name of an item than trying to interpret what a particular number is supposed to mean, especially when the range of possible total values exceeds ten. Since this workstation is supposed to assist the decision-maker we felt it was necessary to make these changes. The entity class ACTIVE\_UNITS is shown in Figure 3.4 to illustrate the SDM concepts discussed in this chapter. The complete SDM schema is listed in Appendix D.

ACTIVE\_UNITS describes those units which are participating in the war scenario. The member attributes for each specific unit are *Name*, *Status*, *View*, *Type*, *Assigned\_target\_of\_unit*, *Bomb\_hits*, and *Missile\_hits*. The unique identifier for this entity class is *Name* since no two names within ACTIVE\_UNITS are the same. The member attribute *Name* has a value class of UNIT\_NAMES. This is a subclass of STRINGS having a maximum length of eight characters which identifies the name of each unit. It is considered mandatory, along with member attributes *Status*, *View*, and *Type* because these are the minimal members that must be contained in the entity class. If there is no *Name* then no other information should be provided. If there is a *Name* then the minimum information needed for each unit are *Status*, which describes the present condition of the unit, *View*, which identifies the unit as hostile, friendly or neutral, and *Type*, which identifies whether the unit is a ship, submarine or aircraft. The value class of *Status* is UNIT\_STATUS which is a subclass of STRINGS whose format is an integer from 0 to 10. For example, 0 indicates if the unit is being deleted, 2 if the unit is on station and 3 if the unit is proceeding. *View* has a value class equal to

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<sup>4</sup>NOSC only uses their workstation for post game analysis.

## **ACTIVE\_UNITS**

***description:*** Contains names, pointers, and other "quick reference" data for all active ships, shore bases, aircraft flights, and cruise missiles.

***member attributes:***

***Name***

***value class:*** UNIT\_NAMES  
mandatory

***Status***

***description:*** contains present condition of unit, for example, sinking or on station.

***value class:*** UNIT\_STATUS  
mandatory

***View***

***description:*** identifies character of unit, for example, hostile.

***value class:*** DISPOSITION  
mandatory

***Type***

***description:*** identifies kind of unit, for example, submarine or cruise missile.

***value class:*** TYPE\_UNIT  
mandatory

***Assigned\_target\_of\_unit***

***description:*** it indicates the target unit is attacking.

***value class:*** UNIT\_NAMES

***Bomb\_hits***

***description:*** contains number of bomb hits a unit received during a current game cycle.

***value class:*** NUMBER\_EPU  
multivalued

***Missile\_hits***

***description:*** contains number of missile hits a unit received during a current game cycle.

***value class:*** NUMBER\_EPU  
multivalued

***identifiers:***

***Name***

Figure 3.4 SDM entity class ACTIVE\_UNITS.

**DISPOSITION.** This is a subclass of STRINGS whose format is an integer from 0 to 10. In this case a 1 indicates the unit is neutral, a 2 if friendly, and 3 if hostile. The value class *Type* is TYPE\_UNIT. This is another subclass of STRINGS whose format is an integer from 0 to 10. Examples of these values are: 1 identifies the unit as an aircraft, 5 identifies a cruise missile and 4 indicates an aircraft carrier. *Assigned\_target\_of\_unit* identifies which target the unit is currently attacking such as an aircraft or cruise missile. There is no mandatory value for this member attribute since it can assume a null value. There are times when a ship may not be engaged in combat operations. Its value class is UNIT NAMES. *Bomb\_hits* and *Missile\_hits* are member attributes that are considered multivalued attributes. An individual unit can receive more than one hit by a bomb or be hit by more than one missile. They share the same value class NUMBER\_EPU. This identifies the number of explosive power units (EPUs) received by a unit. It is a subclass of STRINGS whose format is an integer from 0 to 200. The rest of the entity classes defined in the SDM for the C2 workstation are contained in Appendix D.

#### F. SUMMARY

Chapter III describes the methodology used in the logical data base design. This design contains the conceptual data base structure which models the user's requirements for the organization. The Semantic Data Model was used to develop the logical schema for the C2 workstation based on data received from the Naval Ocean Systems Center. This model was chosen over existing data base models like the Relational Data Model or Entity\_Relationship Model because it provides better facilities to express meaning about the data, avoid confusion and document design decisions and constraints. SDM is a mechanism to describe the meaning of the data base. It provides a variety of semantic-based user interfaces to the data base, and it is the foundation of effective and structured design for data bases. The basic format of SDM consists of an entity class (a group of entities corresponding to actual objects in the environment), member attributes (characteristics of the entity class), and a value class (which are the permissible values an attribute may have).

A narrative description of the SDM developed for the C2 workstation was provided in the last section. It was divided into six basic entity classes. The first class described individual characteristics of all active units in the wargame and was described in detail in this chapter to illustrate the concept of SDM. The next two identified

apparent and true locations of detected targets and active units respectively. The fourth entity class identified areas of damage that a unit sustained while the next one contained specific information on the amount of damage received and expenditure of resources of a particular unit. The last class described data on units engaged in actual combat. This schema forms the basis for developing the relational data base design which is described in Chapter IV.

## IV. A RELATIONAL DATA BASE DESIGN USING ORACLE

This chapter is divided into two major sections. The first describes the criteria, components, and format used in developing a relational data base design. The second section transposes the SDM in Appendix D into a relational data base design for the C2 workstation. It begins by describing the current system used by NOSC to extract data from the RESA wargame and to transfer it to their data base management system (DBMS) on their own workstation. This system is used to identify similar areas of work which will be required before NPS can implement their own DBMS. In addition, this section describes that portion of the design necessary to provide the user the capability to access the data on a real time basis. Finally, the last part of this section contains the detailed structure of the relational data base design supported by tables of data and several sample SQL queries to illustrate ORACLE's data manipulation language.

### A. METHODOLOGY OF A RELATIONAL DATA BASE DESIGN

The physical data base is the second stage of the data base design. The logical schema (the SDM model) is transformed into the particular data constructs of a DBMS. This will be a relational data base design compatible with ORACLE and will produce detailed specifications of the data base structure. These specifications will then be used during data base implementation to write source statements defining the data base structure to the DBMS.

#### 1. Terminology

The basic format used in a relational data base design is a two-dimensional table called a relation. The relation, or flat file, containing single-valued entries, is constructed of columns and rows of data. The columns are called attributes while rows are called tuples. No two tuples in a single relation can be identical. All entries in a column must be of the same kind or category, ie., all ships or all names. These entries represent possible values for an individual attribute and the range of permissible values is called a domain. [Ref. 19: p. 243]

The terms relation, attribute, tuple, and domain correspond directly to SDM terminology. This makes it easier to transpose the SDM schema into a relational data base design. Entity classes in SDM may be transposed into relations while SDM

member attributes are like tuples. Attribute names in SDM are very similar to relational attributes or columns and STRINGS value classes in SDM directly correspond to domains. The distinction in the last case is that tuples are not permitted to contain other tuples in relations which is allowed in SDM. [Ref. 19: p. 245]

## 2. Design Criteria

Again, there are no set of rules to follow in all circumstances when creating a relational data base design, but there are several criteria for producing an effective design [Ref. 19: p. 307]. The first step is to eliminate any modification anomalies. These are unexpected consequences that occur when changing data. There are two general categories:

1. Deletion anomaly - this is the loss of information about two entities with only one deletion.
2. Insertion anomaly- this is the gain of information about two entities with one insertion. Stated negatively, it means information about an entity cannot be inserted until there is additional information about another entity.

These anomalies can be eliminated by creating two new relations called a projection. A disadvantage in doing so is that this causes undesirable interrelation constraints since two relations share the same attribute. It is not always possible to eliminate all anomalies from a relation, but through the use of "Normal Forms" designers can attempt to reach this goal. A normal form is a process that classifies an anomaly and then describes a way to prevent it from happening. Refer to Kroenke's book on *Data Base Processing*, Chapter 8, for a detailed explanation of these forms [Ref. 19].

The last two criteria or goals for a relational data base design are relation independence and ease of use. If a relation can be modified without regard to another then the relation is independent. Ease of use requires that relation structures be familiar and seem natural to the user. When all these criteria conflict, as they sometimes do, then the designer must assess the priorities and make the best possible compromise.

## 3. Components and Format

This section defines the three major components of a relational data base design; *relations*, *domains* and *attribute domain correspondences*, and *interrelation constraints* [Ref. 19: pp. 311-321]. The first component specifies the name, attribute, and key of the relation. The generalized format is displayed in Figure 4.1. In addition, the relation table will contain four to five tuples of example values from the domain associated with each attribute. The order of the values listed will match, one-to-one.

***RELATION\_NAME*** (attribute1, attribute2, ...)

***Key(s):*** relation attribute

Attrib1	Attrib2	Attrib3	Attrib4	Attrib5	Attrib6
value1	value2	value3	value4	value5	value6
value2					
value3					
value4					
value5					

Figure 4.1 Format for relations.

the order of the listed attributes. Each defined relation will be listed in separate figures compared to only one figure for the remaining components. The second component specifies domains and attribute/domain correspondences for the entire relational data base design. Domains are defined both physically and semantically because it is possible that two domains will have the same appearance but have very different meanings. The structure for this component is depicted in Figure 4.2. This table will

<b><i>Domain Name</i></b>	<b><i>Format and Meaning</i></b>
DOMAIN1	numeric YYMMDD
DOMAIN2	positive integer less than 10
DOMAIN3	CHAR(10); names of ships
<b><i>Attribute</i></b>	<b><i>Domain</i></b>
RELATION.attribute1	DOMAIN1
RELATION.attribute2	DOMAIN2

Figure 4.2 Format for domains and attribute/domain correspondences.

contain the complete list of domains and attribute/domain correspondences within the Relational Data Base Design. The final component structure, which specifies

interrelation constraints, is listed in Figure 4.3. These components are the minimal ones and can be augmented by additional documentation for clarification.

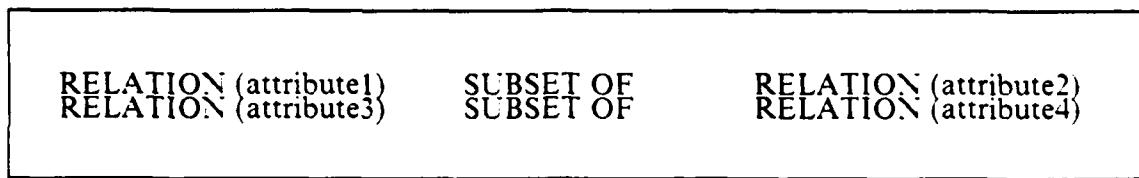


Figure 4.3 Format for interrelation constraints.

## B. A RELATIONAL DATA BASE DESIGN FOR THE C2 WORKSTATION

The relational data base design is very similiar to the design used at the Naval Ocean Systems Center (NOSC) in San Diego, California. What makes it different, besides being tailored to the needs of the Naval Postgraduate School (NPS), is the additional real time requirement to access data during game play.

### 1. Description of the System Used by NOSC

The system at NOSC extracts the data in a sequential manner. It goes to each table, extracts the data and then moves onto the next set of data items. This data is then sent to tape and run through their TAPE\_PIPE.EXEC program to organize the data into six files. The data is then shipped to their own workstation, an HP 9020, and loaded into their relational DBMS, INFORMIX. At this time, NOSC is using the data strictly for post wargame analysis.<sup>5</sup> The Naval Postgraduate School will also use the data for post game analysis, but at the same time, NPS wants to permit players of the wargame to access this same data during game execution. This requires a different design for the ORACLE DBMS.

### 2. Implementation Requirements for the NPS DBMS

To accommodate this plan, NPS will have to develop their version of NOSC's TAPE\_PIPE.EXEC program to organize the data. This program only works when using a tape as an input. NPS will use a disk storage device instead of a tape. After the data are run through this program, they will be sent to the Sun workstation via the ETHERNET, which is a 10 Megabit-per-second coaxial cable local area network facility [Ref. 21: p. 10], and written to a disk file. This will require a second program to

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<sup>5</sup>The author obtained this information through a telephone conversation with personnel from NOSC.

format the data for transmission over the net.

Once information is on the disk, it is then necessary to reformat the data into ORACLE terms for entry into the appropriate relational table. The design of these tables will correspond to the way the data are extracted from the RESA data base. Too many changes or additions in the original design would require a modification of the initial program which extracts the data. This should not be necessary. At this time, the relations are logically structured. Only through use of the system, or if the requirements change, will it prove necessary to make changes in the current organization of the data base.

### 3. Design Requirements to Assure Real Time Data Access.

To permit the user to access this data during play of the game the DBMS should be set up into duplicate relational tables.<sup>6</sup> Instead of the initial six tables used at NOSC, there would now be 12. Only the names and the amount of data, not the type, would be different. As data are received at the workstation and converted into ORACLE format, they would be written to 12 relational tables. The first six tables would contain the complete set of information retrieved. These would be the historical files. The second six would contain only the most recent update of information provided from the game. The advantage of this arrangement is it reduces the time to retrieve data from the system. The current will be much smaller than historical files, and therefore, require less time to search.

Information is collected once during each game cycle (one minute of game play) for all six relations, sometimes more if the information involves the remote detection of targets. Then, during the next game cycle, this information is collected again. It may have changed or there may be additional data or some data may have been deleted. Each game cycle will be kept in the historical files. Only the data in the last one to five game cycles will be kept in the second set of relational tables. As new information is written to the table, those records with the oldest game time will be deleted which eliminates any problems that would normally arise due to modification anomalies. This keeps the access time down to a minimum when querying the data. These tables can grow very large in size depending on the length and complexity of the wargame. Required storage may run anywhere from 55 to 100 Megabytes of disk space.<sup>7</sup>

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<sup>6</sup>This was recommended by NOSC.

<sup>7</sup>Potential storage requirements were identified by NOSC.

There is one other item of importance concerning the program that extracts the data from the blackboard. The program keeps control of data by using a game time and slot number for all records of data extracted from the game. There is a unique slot number for every record extracted within the same game cycle. As such, there will be two additional attributes listed in each relation; one for a slot number and one for a game time. Only six tables are listed but 12 will be required during implementation of the system. The format, which is the same for both sets of relations, is displayed in detail in the next section.

#### 4. Relational DBMS for the C2 Workstation.

The format used to depict the relational design consists of three sections. The first identifies the individual *relations*, which will be duplicated in the implementation phase. The other two sections, *domains* and *attribute/domain correspondences* and *interrelation constraints* will be used to define the fields of the attributes in the DBMS.

***REMOTE\_DETECTION*** (unit\_detected, apparent-latitude, apparent\_longitude,  
slot\_number, game\_time)

**Key(s):** Unit\_detected + slot\_number + apparent\_latitude + apparent\_longitude + game\_time

**Note:** Name and slot number can be repeated more than one time in a game cycle depending on which unit detected the target. Therefore, both unit detected and slot\_number are multivalued with respect to game\_time.

unit_detected	apparent_latitude	apparent_longitude	slot_number	game_time
BK102	55 32N	23 12W	32	24
BK133	58 30N	24 16W	33	24
P2012	45 16S	32 29W	34	24
BF201	72 45N	55 68E	35	24
BK102	56 32N	24 12W	32	24

Figure 4.4 Relation REMOTE\_DETECTION.

**ACTIVE\_UNIT** (name, status, view, type, assigned\_target, bomb\_hits, missile\_hits, slot\_number, game\_time)

**Key(s):** Name + game\_time

**Note:** 1. Bomb\_hits and missile\_hits are multivalued with respect to name.  
2. Name is multivalued with respect to game\_time.

<i>name</i>	<i>status</i>	<i>view</i>	<i>type</i>	<i>assigned target</i>	<i>bomb hits</i>	<i>missile hits</i>	<i>slot number</i>	<i>game time</i>
Petro	2	3	2	0	0	0	1	34
Nimtz	6	2	4	0	0	0	2	34
Bk101	3	3	1	0	0	0	3	34
P1024	3	3	5	Nimtz	0	0	4	34
Petro	2	3	2	0	13	0	1	35

Figure 4.5 Relation ACTIVE\_UNIT.

**TRUE\_POSITION** (name\_of\_unit, true\_latitude, true\_longitude, slot\_number, game\_time)

**Key(s):** Name\_of\_unit + game\_time

**Note:** Name is multivalued with respect to game\_time.

<i>name of unit</i>	<i>apparent latitude</i>	<i>apparent longitude</i>	<i>slot number</i>	<i>game time</i>
VF203	23 34N	34 12W	3	23
VF123	16 23S	32 40E	1	24
Vinson	32 23S	24 12E	2	24
Engls	32 24S	25 12E	3	24
P2034	13 21S	24 35W	4	24

Figure 4.6 Relation TRUE\_POSITION.

**DYNAMIC\_INFORMATION** (name, store\_damage, hull\_damage, sam\_damage, topside\_damage, fuel\_damage, identification, remaining, slot\_number, game\_time)

**Key(s):** Name + identification + game\_time

**Note:** 1. Identification and remaining are multivalued with respect to name.  
 2. Name is multivalued with respect to game\_time.

name	store_damage	hull_damage	sam_damage	topside_damage	fuel_damage	ident	remain	slot_number	game_time
JFK	28	05	0	37	52	radar	1	1	47
Vinson	0	0	0	0	0	AAM	57	1	48
Vinson	0	0	0	0	0	SAM	44	2	48
Vinson	0	0	0	0	0	ASM	10	3	48
Vinson	0	0	0	0	0	mines	33	4	48

Figure 4.7 Relation DYNAMIC\_INFORMATION.

**INFILCTED\_DAMAGE** (time\_of\_damage, damaged\_unit, base, fuel, stores, sam\_sites, sinking, weapon\_system, aircraft, number\_devices, view, report\_status, speed, slot\_number, game\_time)

**Key(s):** Damaged\_unit + time\_of\_damage + game\_time

**Note:** 1. Time\_of\_damage is multivalued with respect to damaged\_unit.  
 2. Damaged\_unit is multivalued with respect to game\_time.

damage unit	time of damage	base	fuel	stores	sam sites	sink	weap system	aircrft device	nmbr	view	repn status	slot nmbr	game time	
Nimitz	45	0	0	0	0	1	0	0	0	2	1	0	1	43
Vinson	45	0	0	0	0	1	0	0	0	2	1	0	2	43
Nimitz	45	0	0	0	0	1	0	0	0	2	1	0	1	44
Vinson	45	0	0	0	0	1	0	0	0	2	1	0	2	44
JFK	46	0	1	1	0	0	1	3	2	2	1	12	3	44

Figure 4.8 Relation INFILCTED\_DAMAGE.

**ENGAGEMENT\_DATA.4** (name\_of\_engaging\_unit, type\_missile\_fired, assigned\_target\_of\_missile, number\_missiles\_fired, prob\_hit, status, view, detected, target\_status, target\_type, prob\_hit\_target, unit\_latitude, unit\_longitude, target\_latitude, target\_longitude, slot\_number, game\_time)

*Key(s):* Name\_of\_engaging\_unit + slot\_time + game\_time

*Note:*

1. Name\_of\_engaging\_unit is multivalued with respect to target.
2. Type\_missile and number\_missiles\_fired are multivalued with respect to name\_of\_engaging\_unit.
3. Target and name\_of\_engaging\_unit are multivalued with respect to game\_time.

engage unit	miss fired	target	nbr fired	prob hit	stat	view	detec	target type	targ	targ prob	unit lat	unit long	target lat	target long	slot nbr	game time
BK102	VF100	0	0	3	3	1	2	1	0	23 34N	32 45E	23 35N	31 45E	1	32	
BK111	VF103	0	0	3	3	1	2	1	0	25 36N	34 46E	24 36N	33 46E	2	32	
NF101	spar	BK118	1	40	3	2	1	0	1	40	26 35N	33 47E	25 35N	32 47E	3	32
VF100	phnx	BK102	1	65	3	2	1	0	1	65	23 34N	31 44E	24 34N	33 45E	4	32
VF101	spar	BK100	1	40	3	2	1	1	40	23 35N	34 23E	22 34N	33 22E	5	32	

Figure 4.9 Engagement\_Data.

<i>Domain Name</i>	<i>Format and Meaning</i>
DAMAGE	integer, 0 to 2; identifies condition of target after engagement. Integers are defined in BBCODE.def table 6.12 in RESA's data base.
DISPOSITION_INDICATOR	integer, 1 to 10; identifies unit as friendly or hostile
ITEM_NAME	integer, 0 or 1; flag identifies specific areas where unit sustained damage
MAX_SPEED	CHAR(10); names of items attached to a unit
MINUTES	integer, 0 to 60; maximum speed (knots) of a damaged ship
NUMBER_EPU	integer, 0 to 65000; game time when unit was damaged
NUMBER_FIRED	integer, 0 to 200; explosive power units (EPUs) a unit may receive during current game cycle.
NUMBER_ITEMS	integer, 0 to 10; number of air-to-air or surface-to-air missiles fired by a unit
PARKED_AIRCRAFT	integer, 0 to 5000; equipment or weapons available on a unit
PERCENTAGE	integer, 0 to 1000; number of parked aircraft that were damaged
POSITION_LATITUDE	real number, 0.0 to 1.0; identifies amount of damage or probability of hits on a unit
POSITION_LONGITUDE	LLMMSSX; where LL is an integer 0 to 90, MM (minutes) is an integer 0 to 60, SS (seconds) is an integer 0 to 60, X is a CHAR whose value is N, E, S or W. Position is given in degrees.
REPORTING	LLLMMSSX; where LLL is an integer 0 to 180, MM (minutes) is an integer 0 to 60, SS (seconds) is an integer 0 to 60, X is a CHAR whose value is N, E, S or W. Position is given in degrees.
SENSORS_DAMAGED	integer, 0 to 7; indicates status of report for damaged units. Integers are defined in BBCODE.def table 6.18 in RESA data base
TYPE_MISSILE	integer, 0 to 24; number of sensors that were damaged on a unit
TYPE_UNIT	CHAR(8); names of missiles launched from an aircraft or ship
UNIT_NAMES	integer, 0 to 11; classifies unit, for example, ship or aircraft. Integers are defined in BBCODE.def table 3.0 in the RESA data base
UNIT_STATUS	CHAR(8); names of ships, aircraft flights, shore bases, and cruise missiles
YES	integer, 0 to 9; current condition of unit. Integers are defined in BBCODE.def table 5.6 in RESA data base
	integer, 0 or 1; indicates if unit has been detected

Figure 4.10 Domains of relational attributes.

<i>Attribute</i>	<i>Domain</i>
ACTIVE_UNITS.name	UNIT_NAMES
ACTIVE_UNITS.status	UNIT_STATUS
ACTIVE_UNITS.view	DISPOSITION
ACTIVE_UNITS.type	TYPE_UNIT
ACTIVE_UNITS.assigned_target_of_unit	UNIT_NAMES
ACTIVE_UNITS.bomb_hits	NUMBER_EPU
ACTIVE_UNITS.missile_hits	NUMBER_EPU
ACTIVE_UNITS.slot_number	CONTROL_NUMBER
ACTIVE_UNITS.game_time	CONTROL_NUMBER
REMOTE_DETECTS.apparent_latitude	POSITION_LATITUDE
REMOTE_DETECTS.apparent_longitude	POSITION_LONGITUDE
REMOTE_DETECTS.name_of_unit_detected	UNIT_NAMES
REMOTE_DETECTS.slot_number	CONTROL_NUMBER
REMOTE_DETECTS.game_time	CONTROL_NUMBER
TRUE_POSITION.true_latitude	POSITION_LATITUDE
TRUE_POSITION.true_longitude	POSITION_LONGITUDE
TRUE_POSITION.name_of_unit	UNIT_NAMES
TRUE_POSITION.slot_number	CONTROL_NUMBER
TRUE_POSITION.game_time	CONTROL_NUMBER
INFILCTED_DAMAGE.time_of_damage	MINUTES
INFILCTED_DAMAGE.damaged_unit	UNIT_NAMES
INFILCTED_DAMAGE.base	INDICATOR
INFILCTED_DAMAGE.fuel	INDICATOR
INFILCTED_DAMAGE.stores	INDICATOR
INFILCTED_DAMAGE.sam_sites	INDICATOR
INFILCTED_DAMAGE.sinking	INDICATOR
INFILCTED_DAMAGE.weapon_system	INDICATOR
INFILCTED_DAMAGE.aircraft	PARKED_AIRCRAFT
INFILCTED_DAMAGE.number_devices	SENSORS_DAMAGED
INFILCTED_DAMAGE.view	DISPOSITION
INFILCTED_DAMAGE.report_status	REPORTING
INFILCTED_DAMAGE.speed	MAX_SPEED
INFILCTED_DAMAGE.slot_number	CONTROL_NUMBER
INFILCTED_DAMAGE.game_time	CONTROL_NUMBER

Figure 4.11 Attribute/Domain correspondences.

<i>Attribute</i>	<i>Domain</i>
DYNAMICS_INFORMATION.name	UNIT_NAMES
DYNAMICS_INFORMATION.store_damage	PERCENTAGE
DYNAMICS_INFORMATION.hull_damage	PERCENTAGE
DYNAMICS_INFORMATION.sam_damage	PERCENTAGE
DYNAMICS_INFORMATION.topside_damage	PERCENTAGE
DYNAMICS_INFORMATION.fuel_damage	PERCENTAGE
DYNAMICS_INFORMATION.identification	ITEM_NAME
DYNAMICS_INFORMATION.remaining	NUMBER_ITEMS
DYNAMICS_INFORMATION.slot_number	CONTROL_NUMBER
DYNAMICS_INFORMATION.game_time	CONTROL_NUMBER
ENGAGEMENT_DATA.name_of_engaging_unit	UNIT_NAMES
ENGAGEMENT_DATA.type_of_missile_fired	TYPE_MISSILE
ENGAGEMENT_DATA.number_of_missiles_fired	NUMBER_FIRED
ENGAGEMENT_DATA.probability-hit	PERCENTAGE
ENGAGEMENT_DATA.status	UNIT_STATUS
ENGAGEMENT_DATA.view	DISPOSITION
ENGAGEMENT_DATA.detected	YES
ENGAGEMENT_DATA.target_status	DAMAGED
ENGAGEMENT_DATA.target_type	TYPE_UNIT
ENGAGEMENT_DATA.probability_hit_target	PERCENTAGE
ENGAGEMENT_DATA.unit_latitude	POSITION_LATITUDE
ENGAGEMENT_DATA.unit_longitude	POSITION_LONGITUDE
ENGAGEMENT_DATA.target_latitude	POSITION_LATITUDE
ENGAGEMENT_DATA.target_longitude	POSITION_LONGITUDE
ENGAGEMENT_DATA.slot_number	CONTROL_NUMBER
ENGAGEMENT_DATA.game_time	CONTROL_NUMBER

Figure 4.11 Attribute/Domain correspondences. (cont'd.)

There are no interrelation constraints listed for this relational data base design. Interrelation constraints usually occur when entity classes are subclasses of other entity classes and when domains themselves are entity classes. In this case, the domains listed for each member attribute were defined as character STRINGS and not other entity classes.

## 5. Sample Data Base Queries

This section serves two functions. First, it ties the SDM and the Relational Data Base Design together. Several queries are made on the previously defined relations in order to demonstrate what the analyst or player will be able to extract from the data base. In addition, it explains how ORACLE's data manipulation language, SQL is used to retrieve data.

### *a. Basic ORACLE SQL Commands*

The basic SQL commands are SELECT, CREATE, and INSERT. SELECT retrieves rows of data from a table, CREATE defines a new table, and INSERT enters rows of data into a table. The SELECT command is used for data base retrieval. It is the most common operation done with ORACLE. The basic SELECT command has two parts, called clauses;

1. The SELECT clause - lists the columns from the table to be retrieved.
2. The FROM clause - names the tables where the columns are located.

The SELECT clause is always entered first and immediately followed by the FROM clause. These two commands would display all rows of information from the table. In order to select only specific rows of data, a WHERE clause must be added to the basic statements of SELECT and FROM, as demonstrated in Appendix B and in the next section. The WHERE command causes ORACLE to search the data in the table and retrieve only those rows that meet a search-condition. These conditions are varied by using AND, OR, BETWEEN and other clauses provided by ORACLE as clarifying statements. If more than one table of data needs to be queried for the information, then ORACLE will use a JOIN command to obtain the data. [Ref. 22]

### *b. User Types of Queries*

These queries support two kinds of information retrieval. Figures 4.12 and 4.13 describe questions of possible interest to an active player of the RESA wargame who would be using the workstation as DSS. The second type of information is characteristic of a question an analyst using the system might ask (Figure 4.14). It should be noted that each of the three figures uses a different SQL format for retrieving the data. They are a normal SELECT query, a subquery using two different relations, and a JOIN query, which pulls the information for the final answer from more than one table. This is different from a subquery. A subquery may conduct operations on multiple tables, but it obtains the final answer only from one table.

Question: What is the last known position and time for the Soviet submarine Gulf1?

```
SELECT (unit_detected, apparent_latitude, apparent_longitude, game_time)
  FROM (remote_detection_historical)
 WHERE unit_detected = gulf1
   AND game_time > 38
```

Result:

<i>unit detected</i>	<i>apparent latitude</i>	<i>apparent longitude</i>	<i>game time</i>
Gulf1	32 23N	24 36E	44
Gulf1	33 23N	23 36E	45

Note: Current game time is 54. The user had to access the historical data table to be sure of obtaining an answer since current tables only contain data from the last few game cycles.

Figure 4.12 Player SQL sample 1.

Question: How much air activity has been detected over Petro?

```
SELECT {count (distinct unit_detected)}
  FROM (remote_detections)
 WHERE (apparent_latitude, apparent_longitude) =
GROUP BY game_time

  SELECT (true_latitude, true_longitude)
    FROM (active_unit)
 WHERE name = Petro
   AND game_time > 45
   AND true_latitude BETWEEN (true_latitude + 2
                             and true_latitude - 2)
   AND true_longitude BETWEEN (true_longitude + 2
                             and true_longitude - 2)
```

Result:

game_time	unit_detected
46	23
47	26
48	29
49	30

Note: Current game\_time now equal to 49.

Figure 4.13 Player SQL query number 2, (subquery).

Question: What is the average consumption of SAMs for the VINSON class of ships during the first 10 minutes of combat (once incoming cruise missiles have been detected) and the total number of successful intercepts of these missiles.

```

SELECT {active_unit.type, count (active_unit.type)
        avg (dyn_info.remaining), sum (engage_data.target_status)}
  FROM {dynamic_information, engagement_data, active_units}
 WHERE active_unit.type = 3
   AND active_unit.slot_number = dynamic_information.slot_number
   AND dynamic_information.identification = SAM
   AND engagement_data.game_time BETWEEN (engagement_data.game_time
                                         > = 32 and engagement_data.game_time < = 41)
   AND engagement_data.target_type = 5
   AND engagement_data.target_status = 1
   AND engagement_data.unit_name = active_units.name

```

Result:

active_units type	count (active_units)	avg(dyn_info remaining)	sum(engage_data target_status)
3	4	7	11

Note: It is assumed one knows the initial quantity of SAMs.

Figure 4.14 Analyst SQL query sample, (JOIN).

### C. SUMMARY

Chapter IV described methodology used to develop the Relational Data Base Design for the C2 workstation. This involved mapping the SDM to the relational DBMS, ORACLE, which NPS chose for their C2 workstation. The basic components of this design are relations, domains and attribute/domain correspondences, and interrelation constraints. The second major section of this chapter identified the current

system used by NOSC and how NPS's system would have to be constructed in a very similiar fashion. Two additional programs need to be developed. The first program is required to organize the data into six tables after it is extracted from the RESA wargame. The second program is needed to format the data in order to transmit it from the RESA wargame to the workstation via the ETHERNET. It was suggested that two sets of relational tables be implemented in the data base to permit the user to access the data during game execution. The first set would contain historical files for post game analysis, while the second set of tables would contain only those records from the most current game cycle. These tables were described in detail and used to construct several sample SQL queries to illustrate the types of information which can be extracted from the data base.

## V. SUMMARY

### A. REVIEW OF THE PROBLEM

This thesis supported the Naval Postgraduate School's (NPS) research into the development of a multimedia (text, voice, graphics), command and control (C2) workstation as a Decision Support System (DSS) to aid players of the Research, Evaluation, and System Analysis (RESA) facility. The problem involved interfacing the C2 workstation to RESA to support post game analysis of the data and to permit players of the wargame to access real time data during game execution. This coincided with the Navy's overall problem of obtaining a workstation flexible enough to work with a wide variety of C2 systems and adaptable enough to meet the requirements of a diverse set of users. This meant using both voice and keyboard natural language interfaces on the workstation.

With this understanding, the NPS chose the Sun microsystems' version of UNIX and ORACLE, a relational data base management system (DBMS) to function as the C2 workstation. The primary reason is that it supported a wide variety of multimedia functions including voice capability in the near future. NPS's long term goal is to use this workstation to provide players and analysts the capability of real time data extraction by using a voice, natural language interface to operate the system. As such, it was the goal of this thesis to define the boundaries of the problem and propose a data base design for the Sun workstation using data supplied by the Naval Ocean Systems Center (NOSC).

### B. CONCLUSIONS

If it is assumed the RESA data is a good representation of data arriving from operational systems, then it is possible to make several conclusions in using the Sun workstation as a DSS.

1. It is possible to define a data element dictionary for a military environment using the design of industry standard DBMS systems.
2. A duplicate set of relational tables can be defined to store historical data and current data to allow a microsystem to operate as a DSS.
3. The design of the DSS may allow real time retrieval of aggregated data, in response to user SQL or natural language queries, if the hardware and software operating speed is sufficient.
4. It is possible to use a dedicated processor for off-line retrieval of data from an operational system.

5. A series of logical steps can be defined to develop a natural language interface to benefit infrequent users of the DSS.

### C. RECOMMENDATIONS

After analyzing the data and conferring with people at NOSC, we recommend that the relational data base design be structured in accordance with the way data are extracted from the RESA wargame. It is already organized into logical tables and this will minimize the initial amount of work required to implement the system. Those changes in the design that did occur were in support of NPS's decision to use the C2 workstation in the additional role as a DSS. Therefore, we recommend that two sets of relational tables be maintained in the DBMS on the workstation. The first set would contain all data extracted from the wargame scenario, while the second set would be an abbreviated file containing those records extracted from the last few game cycles.

### D. RECOMMENDATIONS FOR FURTHER STUDY

The relational data base design is just the first step in the total research program in progress at NPS. Five additional programs were identified that need to be developed before this project can be completed. These include:

1. DISK PIPE.EXEC program - is required to replace NOSC's TAPE PIPE.EXEC program. This is needed to clean up the data after it is extracted from the wargame and to organize the data into the six logical tables.
2. An ETHERNET program module - this second program module is required to format the data in order to transmit it over the ETHERNET to the Sun workstation.
3. ORACLE interface module - once the Sun workstation receives the data it must be reformatted again in terms of ORACLE so it can be written to the appropriate files.
4. Menu driven module - the author recommends a menu driven interface be developed to assist the user in retrieving information from the data base. Although ORACLE uses SQL for this process the user must still be familiar with the data base organization for this method to be effective.
5. Natural language module - the ultimate goal of the system is to access the data via a natural language. It is due to the difficulty that will be encountered in developing this module that the menu driven interface was proposed as an interim solution to access the data base.

The first three programs should be considered as components of the larger project to interface the Sun workstation to the RESA facility. Once this stage is complete then the relational data base design can be implemented on the workstation using ORACLE as the DBMS. The next stage, developing a menu driven query program (as an interim solution until a natural language can be developed) is considerably larger in scope then the first three. As stated previously, menu driven interfaces require less memory and

appear well suited to command and control queries. The natural language program is the largest and most difficult task to be accomplished in the future. However, it offers the potential to reduce the learning curve for players using the system. It will aid the infrequent user in accessing data and in making queries that would be difficult to define in SQL. The payoff from this type of C2 system, with these capabilities, is a more robust approach to distributed command and control [Ref. 4: p. 1].

#### E. POTENTIAL PROBLEM AREAS

There are several potential problems that may hinder the implementation of the proposed relational data base design and affect its efficiency when the workstation becomes operational. The biggest concern lies with the processing capability of the Sun workstation. Is it fast enough to handle the volume of data being transmitted from the RESA wargame within the designated one minute cycles? It is understood that the ETHERNET will ultimately control the maximum rate at which data is transmitted to the workstation, but will it be so busy accepting and receiving data that it will be too slow in performing any other function? The total quantity of data retrieved from one game lasting 75 minutes, can require anywhere from 55 to 100 Megabytes of disk storage. Even breaking down the data into one minute sections averaging just one Megabyte of information would still require a great deal of processing time. Multiply this number by the series of steps listed above and it becomes easy to understand how the system may not be unable to support and process such a large amount of data in a timely manner.

A second issue, related to the first, is how "real time" the DSS will be in accessing the data. There may be so much data that it will be infeasible to update the current relational files every game minute. It may be necessary to extend this time to two or three minute cycles. How effective would calculations be if they were made from data obtained only every 2 or 3 minutes, especially when RESA can lengthen the game cycle time at random. How then, would this affect the players using the workstation as a DSS?

A final issue concerns the size of the overall data base collected for each wargame. Although the relational data base is a two dimensional flat file it is convenient to think of it as a three dimensional file with the third dimension being time. At game time = 1 the data base consists of single values for every data element in the program. At game time = 2 the data base grows to two values for every data

element. With the data base growing with each time cycle it is easy to see that this process will generate a very large and possibly unmanageable data set in a very short time. There are several possible approaches to this problem which take advantage of the fact that not all data changes each time and that not all data must be saved. This leads into the idea of adding temporal semantics into the DBMS. Significant savings in data base size and processing speed could be achieved just by processing data which has changed since the last game time. We feel this area is worth further study since it offers a potential solution for all three identified problems.

These problems are going to be compounded as NPS expands the network by adding workstations. Not only will Sun stations be used but also existing HP 9020s. As such, the interface to establish correct protocol procedures between these two systems must also be addressed and developed.

The final problem area which will affect the continued performance of the Sun workstation is NPS's ultimate goal to eventually tie this system into the Defense Data Network (DDN). This will allow direct interface with the RESA wargame located at NOSC. If this can be accomplished then these systems should be able to operate in a similiar environment from ship-to-ship or shore.

In summary, there are a large number of questions that still need to be answered. This paper defined the project in concrete terms and proposed a relational data base design for the workstation based on work completed at NOSC. Problems were raised which need to be resolved before further work can continue while others will resolve themselves as the system becomes operational. Feedback provided by the players in the game will greatly enhance the effectiveness of the system as a DSS. If the system really is evolutionary, as all DSSs are supposed to be, then it will be able to meet the proposed changes in design and accommodate new systems such as the HP 9020 and the system at NOSC.

## APPENDIX A SUN WORKSTATION

This section describes the system selected by the Naval Postgraduate School to be used as the Command and Control Decision Support System to support RESA. There are two basic components; the Sun Workstation using Sun's version of UNIX operating system, and ORACLE, the Relational Data Base Management System (RDBMS).

### 1. SYSTEM HARDWARE

The Sun-3 product family is a group of 32-bit systems based on the Motorola MC 68020 CPU chip and the high-speed 32-bit VMEbus. It comes with a standard 2 Megabytes of real memory expandable up to 16 Megabytes and can address up to 256 Megabytes of virtual address space. The system includes a floating point accelerator, graphics processor board, and graphics buffer. The floating point accelerator runs up to four times faster than the MC68881 and gives two times the performance of a VAX780 using a floating point accelerator. The accelerator complies with the IEEE 754 version 10 standard and supports both 32-bit and 64-bit floating-point operations. The graphics board can render 40,000 2D vectors per second or 25,000 3D vectors per second. The graphic buffer augments the graphics board and is intended for applications where 3D transformations and hidden surface remove is time critical. This combination can shade and remove hidden surfaces of 3D polygons faster than one million pixels per second or up to 35 million pixels per second for arbitrary polygons. Peripherals that can be attached to the system include SMD disks ranging in size from 84 Megabytes to the 474 Megabyte 'Eagle' disk, a nine-track disk a one quarter inch cartridge tape, and SCSI disks and tapes. All systems communicate via the ETHERNET which is a 10 Megabit-per-second coaxial cable local area network facility. [Ref. 21: pp. 7-10]

### 2. SYSTEM SOFTWARE

The Sun Workstation runs an enhanced version of the 4.2BSD UNIX system derived from the University of California at Berkeley. The system provides support for interprocess communication and local area networking. The UNIX system is equipped with a host of software as a base level package. Supported languages are Pascal, C,

**FORTRAN 77**, and **Assembler**. There are utilities for performing statistical text processing and document preparation. In addition to the utilities package Sun has added **User Interface package** based on overlapping windows, and a **graphics package** to support the more common graphics standards. [Ref. 21: p 3]

a. **UNIX**

The operating system uses a hierarchical file system to group related files within a directory. A directory is simply a special case of file. Sun Microsystems have taken this idea one step further into a **Network File System**. This is a facility for sharing files in a heterogeneous environment of machines, operating systems and networks. Sharing is accomplished through a remote file system rather than reading or writing files in place. This avoids the problem of each node maintaining their own file system and thereby duplicating resources.

There are two main shells on the Sun operating system. They are the **C Shell** in the command interpreter part of the 4.2 BSD operating system and the **Bourne Shell** which is the **UNIX version 7** command interpreter. The two major external differences between the two shells is that the **C Shell** provides job control and history. Job control allows jobs to be run in the background or foreground. The history facility is used for reissuing previous commands. The number of commands possible is specified by the user. Major features that both shells provide to the user interface are

1. Analysis of the command line. Run the indicated command, passing the remainder of the command line as arguments to the command. Each command is run as a separate process.
2. The shells can redirect the standard input, output, and error files as defined by the user.
3. Can connect multiple processes together via a "pipeline." The standard output of one process is connected to the standard input of the next process in line.
4. File name expansion. Metacharacters are provided to indicate aggregates of files. Matches can be made on single characters or strings of characters in a filename.
5. Both shells provide user-defined search paths for finding commands.

Both shells can be used as a programming language. The syntax of the **C shell** commands resembles the **C** programming language whereas the **Bourne Shell** resembles **Algol-68**. [Ref. 21: pp. 35-37]

b. **Window Utility**

**Sun View** is the Sun Visual Integrated Environment for Workstations. It has two components; a **User Interface** and a **Sun Guide**. The **Sun Guide** is a programming interface accessible via a collection of subroutine libraries. The user interface provides

multiple overlapping windows on the screen and each window runs independently of the other. It is a collection of software utilities that exploit the graphical capabilities of the Sun hardware. It uses a mouse as a pointing device as the primary mechanism for interacting with the tools. This avoids having to type in a response and having to wait for a response. Windows can be moved around the screen as needed and when closed becomes an "icon"; a small graphical object whose appearance sometimes suggests its function. Some of the features provided by the Sun Window System are a shelltool, iconedit, and mailtool. The shelltool provides a window-based interface to the standard UNIX system command interpreters. Iconedit is used for designing icons and cursors. Mailtool interfaces to the standard mail reading facility. [Ref. 21: pp. 21-31]

### c. Mail Utility

The Sun station supports two types of mail routines; electronic mail and electronic message. Electronic mail is similar to sending a telegram. It can be read, saved or edited. Electronic mail can be sent to the same machine, over a local network or remote network. An electronic message is like calling someone up on the phone. The person who receives the message can reply to it while the user waits. There are four types of electronic messages; same machine, local network, broadcast, and system, which are messages the system sends to the user's console. [Ref. 23: pp. 3-4]

## APPENDIX B

### ORACLE, A RELATIONAL DATA BASE MANAGEMENT SYSTEM

ORACLE is relational database management system plus a complete set of integrated software tools for application development and decision support. These tools include an

1. Application generator - this helps the user define an application by leading them through a simple question and answer dialogue.
2. Report generator - allows the creation of both production and ad-hoc reports. It will automatically format any SQL query into a report with columns and headings taken from the data dictionary.
3. Color graphics - permits display of data in color, in the form of pie or bar charts, and multi-line plots.
4. Document preparation - lets the user interleave the results of multiple data base queries with text and graphics into a single formatted report or document.
5. Integrated data dictionary - contains all the information about tables applications, reports, and graphs and is automatically updated by the system as new tables and applications are added to the data base.

Data is displayed in a two-dimensional table and is accessed via a standard user interface, SQL (pronounced see-quel). SQL is an English-like language that can be used directly from the terminal or embedded in standard programming languages. SQL includes commands for

1. Query - retrieving data from the data base.
2. Data manipulation - inserting, updating, and deleting data.
3. Data definition - adding new tables.
4. Data control - preventing access to private data.

SQL specifies operations in terms of what is to be done and not how to do it. It is a nonprocedural language.

The basic SQL commands are SELECT, CREATE, and INSERT. SELECT retrieves rows of data from a table, CREATE defines a new table, and INSERT enters rows of data into a table. The SELECT command is used for data base retrieval. It is the most common operation done with ORACLE. The basic SELECT command has two parts, called clauses;

1. The SELECT clause - lists the columns from the table to be retrieved.
2. The FROM clause - names the tables where the columns are located.

The **SELECT** clause is always entered first and immediately followed by the **FROM** clause. These two commands would display all rows of information from the table. In order to select only specific rows of data, a **WHERE** clause must be added to the basic statements of **SELECT** and **FROM**. The **WHERE** command causes ORACLE to search the data in the table and retrieve only those rows that meet a search-condition. These conditions are varied by using **AND**, **OR**, **BETWEEN** and other clauses provided by ORACLE as clarifying statements. If more than one table of data needs to be queried for the information, then ORACLE will use a **JOIN** command to obtain the data. This command combines the data selected from multiple tables into a single table for display to the user. A sample query using SQL is depicted in Figure B.1.

```
SELECT (name, job, salary)
  FROM (employees)
 WHERE job = technician
   AND salary > 2800;
```

Resulting table:

<b>NAME</b>	<b>JOB</b>	<b>SALARY</b>
Harris	technician	2975.00
Terrill	technician	2850.00

Figure B.1 SQL sample query.

Another important feature of ORACLE's SQL query language is its complete set of arithmetic and string functions. The arithmetic operators include adding, subtracting, multiplication, division, exponentiation, rounding, truncation, and absolute value. String functions include concatenation, translate, string length, substring, instr, upper case, lower case, and sound matching. These are only partial listings of the total capability.

Information on ORACLE was provided by the ORACLE Corporation in their book *ORACLE Overview and Introduction to SQL* [Ref. 22].

## APPENDIX C

### RESA BLACKBOARD DATA

The Naval Ocean Systems Center (NOSC) provided the data used in the data base design. After it is run through the TAPE\_PIPE.EXEC program it is separated into six separate files corresponding to RESA tables. This section lists a portion of each of those files (for one game time slot only) and their associated fields. It also

<i>Data Item</i>	<i>Field Name</i>
NF102	Name
PHE\X	Missile Type
BK133	Assigned Target
1	Numbered Fired
65	Probability of Hit
3	Status
2	View
1	In Use
1	Detected
1	Status of Target
65	Type of Target
+ 0.7959	Probability Hit Target
+ 2.8293	Latitude of Unit
+ 0.8048	Longitude of Unit
+ 2.8339	Latitude of Target
101817	Longitude of Target
12	Pointer
101817	Length of Entry in Blackboard
0	Base of Table
1	Pointer Index
21	Slot Number
	Game Time

Figure C.1 Engagement data.

explains the relationship between each of the tables. The last six data items are not part of the data collected from the wargame. They are used for control purposes in the program and the names of these fields are identical in the rest of the tables, so they will not be repeated again. The two important control numbers are the slot number and the game time. The slot number uniquely identifies each record collected under the same game time. The game time distinguishes between like records within the same file. Information is collected from the RESA wargame once, sometimes more, during each game time or cycle (one minute of game play) for all the files. The values of data items within each record may have changed or records may have been added or deleted.

<i>Data Item</i>	<i>Field Name</i>
+ 0.0100	Store Damage
+ 0.0100	Hull Damage
+ 0.0000	Sam Damage
+ 0.0000	Top Side Damage
+ 0.0100	Fuel Damage
21	Equipment Identification
0	Number Remaining

Figure C.2 Data on ship dynamics.

<i>Data Item</i>	<i>Field Name</i>
Petro	Name
2	Status
3	View
7	Type
0	Attack Index
0	Hits
0	Missile Hits

Figure C.3 Platform unit data.

<i>Data Item</i>	<i>Field Name</i>
+ 0.9279	True Latitude
+ 2.7655	True Longitude

Figure C.4 Position data.

All the files are related through the slot number and the game time. The position file and platform file match up one-to-one by slot number and game time. This reveals the true position of each unit. The remote detection file and platform unit file match up one-to-one for game time but the detectee number in the remote detection file is matched to the slot number in the unit platform unit file. This identifies the target that

<i>Data Item</i>	<i>Field Name</i>
+ 0.8195	Apparent Latitude
+ 2.7799	Apparent Longitude
20	Deteece

Figure C.5 Remote detection data.

<i>Data Item</i>	<i>Field Name</i>
69	Time
6	Unit
0	Base
0	Fuel
0	Stores
0	Samsites
1	Sinking
0	Weapon Systems
0	Next Index
0	Aircraft
0	Number Devices
2	View
4	Report Status
1	Modified
1	Name Index
0	Speed

Figure C.6 Unit damage data.

is detected. In the unit damage file, the data item "unit" matches to the slot number in the platform unit data file under the same game time. This identifies the unit which received damage. The last relationship is between the data on ship dynamics and platform unit data. These files match one-to-one for slot number and game time to identify the appropriate dynamic information for the right unit.

## APPENDIX D

### SEMANTIC DATA MODEL FOR THE DSS C2 WORKSTATION

#### **DYNAMICS\_INFORMATION**

*description:* contains dynamic data for ships, submarines, shore bases, and aircraft flights.

*member attributes:*

*Name*

*value class:* UNIT\_NAMES

*Store\_damage*

*value class:* PERCENTAGE

*Hull\_damage*

*value class:* PERCENTAGE

*Sam\_damage*

*value class:* PERCENTAGE

*Topside\_damage*

*value class:* PERCENTAGE

*Fuel\_damage*

*value class:* PERCENTAGE

*Identification*

*description:* identifies equipment item attached to unit, for example, radar or missile type.

*value class:* ITEM\_NAME  
multivalued

*Remaining*

*description:* number of equipment items remaining under Identification due to operational damages or expenditures.

*value class:* NUMBER\_ITEMS  
multivalued

*identifiers:*

*Name*

Figure D.1 SDM for the C2 workstation.

## **ACTIVE\_UNITS**

*description:* Contains names, pointers, and other "quick reference" data for all active ships, shore bases, aircraft flights, and cruise missiles.

*member attributes:*

### ***Name***

*value class:* UNIT\_NAMES  
mandatory

### ***Status***

*description:* contains present condition of unit, for example, sinking or on station.

*value class:* UNIT\_STATUS  
mandatory

### ***View***

*description:* identifies character of unit, for example, hostile.

*value class:* DISPOSITION  
mandatory

### ***Type***

*description:* identifies kind of unit, for example, submarine or cruise missile.

*value class:* TYPE\_UNIT  
mandatory

### ***Assigned\_target\_of\_unit***

*description:* it indicates the target unit is attacking.

*value class:* UNIT\_NAMES

### ***Bomb\_hits***

*description:* contains number of bomb hits a unit received during a current game cycle.

*value class:* NUMBER\_EPU  
multivalued

### ***Missile\_hits***

*description:* contains number of missile hits a unit received during a current game cycle.

*value class:* NUMBER\_EPU  
multivalued

**identifiers:**

### ***Name***

Figure D.1 SDM for the C2 workstation. (cont'd.)

## **REMOTE\_DETECTIONS**

*description:* contains detected tracks of active units.

*member attributes:*

### ***Apparent\_latitude***

*value class:* POSITION\_LATITUDE  
mandatory

*comment:* must be in degrees.

### ***Apparent\_longitude***

*value class:* POSITION\_LONGITUDE  
mandatory

*comment:* must be in degrees.

### ***Name\_of\_unit\_detected***

*value class:* UNIT\_NAMES

identifiers:

### ***Name\_of\_unit\_detected***

## **TRUE\_POSITION**

*description:* identifies ground true latitude and longitude of active units.

*member attributes:*

### ***True\_latitude***

*value class:* POSITION\_LATITUDE  
*comment:* must be in degrees.

### ***True\_longitude***

*value class:* POSITION\_LONGITUDE  
*comment:* must be in degrees.

### ***Name\_of\_unit***

*value class:* UNIT\_NAMES  
mandatory

identifiers:

### ***Name***

Figure D.1 SDM for the C2 workstation. (cont'd.)

## **INFILCTED DAMAGE**

*description:* contains damage information relative to ships, aircraft and various shore installations.

*member attributes:*

### ***Time\_of\_damage***

*value class:* MINUTES

### ***Damaged\_unit***

*value class:* UNIT\_NAMES  
multivalued

### ***Base***

*description:* indicates whether base did or did not receive damage.

*value class:* INDICATOR

### ***Fuel***

*description:* indicates whether hull or fuel stores did or did not receive damage.

*value class:* INDICATOR

### ***Stores***

*description:* indicates whether weapon stores did or did not receive damage.

*value class:* INDICATOR

### ***Sam\_sites***

*description:* indicates whether SAM sites did or did not receive damage.

*value class:* INDICATOR

### ***Sinking***

*description:* indicates whether a ship is or is not sinking.

*value class:* INDICATOR

### ***Weapon\_system***

*description:* indicates whether weapon system did or did not receive damage.

*value class:* INDICATOR

### ***Aircraft***

*description:* contains number of damaged parked aircraft.

*value class:* PARKED\_AIRCRAFT

Figure D.1 SDM for the C2 workstation. (cont'd.)

***Number\_devices***

*description:* contains number of sensor devices that were damaged.

*value class:* SENSORS\_DAMAGE

***View***

*description:* identifies owner of damaged unit, example, hostile.

*value class:* DISPOSITION

***Report\_status***

*description:* for example, report needed or report sent.

*value class:* REPORTING

***Speed***

*description:* maximum speed of ship after damage.

*value class:* MAX\_SPEED

identifiers:

Damage\_unit

***ENGAGEMENT\_DATA***

*description:* contains force engagement data for ships, aircraft, and cruise missiles which are attacking opposing units.

*member attributes:*

***Name\_of\_engaging\_unit***

*value class:* UNIT\_NAMES  
mandatory  
multivalued

***Type\_of\_missile\_fired***

*description:* for example, sparrow, phenx.

*value class:* TYPE\_MISSILE  
multivalued

***Assigned\_target\_of\_missile***

*value class:* TYPE\_UNIT

***Number\_of\_missiles\_fired***

*value class:* NUMBER\_FIRED  
multivalued

Figure D.1 SDM for the C2 workstation. (cont'd.)

***Probability\_hit***

*description:* contains probability of hitting target considering the range where missile was fired.

*value class:* PERCENTAGE

***Status***

*description:* contains present condition of unit, for example, proceeding or on guide.

*value class:* UNIT\_STATUS  
mandatory

***View***

*description:* identifies character of attacking unit, example, friendly.

*value class:* DISPOSITION  
mandatory

***Detected***

*description:* indicates unit has been detected.

*value class:* YES

***Target\_status***

*description:* indicates whether target was missed, hit and engagement is over, or engaging the target.

*value class:* DAMAGED  
multivalued

***Target\_type***

*description:* identifies kind of unit being attacked, for example, ship.

*value class:* TYPE\_UNIT  
mandatory

***Probability\_hit\_target***

*description:* contains probability that missile will hit target given the target's electronic counter measure capabilities.

*value class:* PERCENTAGE

***Unit\_latitude***

*value class:* POSITION\_LATITUDE

*comment:* must be in degrees.

Figure D.1 SDM for the C2 workstation. (cont'd.)

***Unit\_longitude***

***value class:*** POSITION\_LONGITUDE

***comment:*** must be in degrees.

***Target\_latitude***

***value class:*** POSITION\_LATITUDE

***comment:*** must be in degrees.

***Target\_longitude***

***value class:*** POSITION\_LONGITUDE

***comment:*** must be in degrees.

identifiers:

***Name\_of\_engaging\_unit***

***UNIT\_NAMES***

***description:*** contains names of all ships, subs, aircraft, cruise missiles and shore stations.

***interclass connection:*** subclass of STRINGS where length is less than eight characters.

***UNIT\_STATUS***

***description:*** contains present condition of each active unit, for example, being deleted, proceeding or recovery.

***interclass connection:*** subclass of STRINGS where format is non-negative integer less than 10.

***TYPE\_UNIT***

***description:*** identifies kind of unit, for example, surface or shore base.

***interclass connection:*** subclass of STRINGS where format is a positive integer less than 11.

***DISPOSITION***

***description:*** identifies whether unit is neutral, friendly, hostile, or unknown.

***interclass connection:*** subclass of STRINGS where format is a positive integer less than 11.

Figure D.1 SDM for the C2 workstation. (cont'd.)

### **NUMBER\_EPU**

*description:* identifies number of explosive power units (EPU) received by a unit.

*interclass connection:* subclass of STRINGS where format is non-negative less than 201.

### **MINUTES**

*description:* identifies game time when unit was damaged.

*interclass connection:* subclass of STRINGS where format is non-negative integer less than 2401.

### **INDICATOR**

*description:* statement indicates whether unit did or did not receive any damage.

*interclass connection:* subclass of STRINGS where format is 0 or 1.

### **PARKED\_AIRCRAFT**

*description:* identifies number of parked aircraft that were damaged.

*interclass connection:* subclass of STRINGS where format is non-negative integer less than 1001.

### **SENSORS\_DAMAGED**

*description:* indicates number of damaged sensor devices.

*interclass connection:* subclass of STRINGS where format is non-negative integer less than 25.

### **REPORTING**

*description:* indicates reported status on damaged units, for example, report received.

*interclass connection:* subclass of STRINGS where format is non-negative integer less than eight.

### **MAX\_SPEED**

*description:* indicates maximum speed of ship, in knots, after being damaged.

*interclass connection:* subclass of STRINGS where format is non-negative integer less than 61.

### **PERCENTAGE**

*interclass connection:* subclass of STRINGS where format is a real number between or equal to 0 and 1.

Figure D.1 SDM for the C2 workstation. (cont'd.)

**ITEM\_NAME**

*description:* identifies name of equipment item attached to unit.

*interclass connection:* subclass of STRINGS where length is less than 10 characters.

**NUMBER\_ITEMS**

*description:* contains amount of equipment items still operating after a unit is damaged.

*interclass connection:* subclass of STRINGS where format is non-negative integer less than 5000.

**TYPE\_MISSILE**

*interclass connection:* subclass of STRINGS where length is less than eight characters.

**NUMBER\_FIRED**

*description:* contains number of missiles fired at a target from one unit.

*interclass connection:* subclass of STRINGS where format is non-negative integer less than 10.

**YES**

*description:* indicates unit has been detected.

*interclass connection:* subclass of STRINGS where format is 0 or 1.

**DAMAGED**

*description:* indicates if target was missed by a missile, hit by a missile and engagement over, or engaging target.

*interclass connection:* subclass of STRINGS where format is non-negative integer less than 5.

**POSITION\_LATITUDE**

*description:* indicates latitude of unit in degrees.

*interclass connection:* subclass of STRINGS where format is number LLMMS and X is a character.

**POSITION\_LONGITUDE**

*description:* indicates longitude of unit in degrees.

*interclass connection:* subclass of STRINGS where format is number LLLMMSS and X is a character.

Figure D.1 SDM for the C2 workstation. (cont'd.)

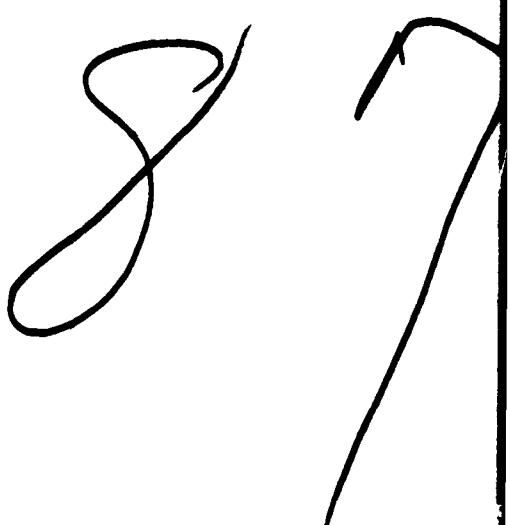
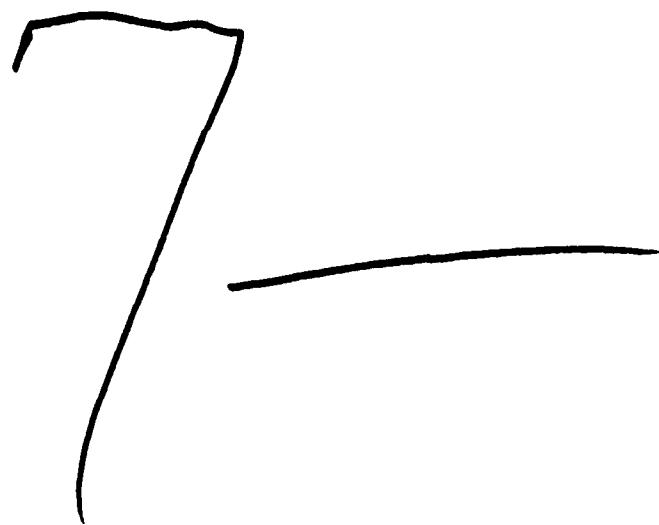
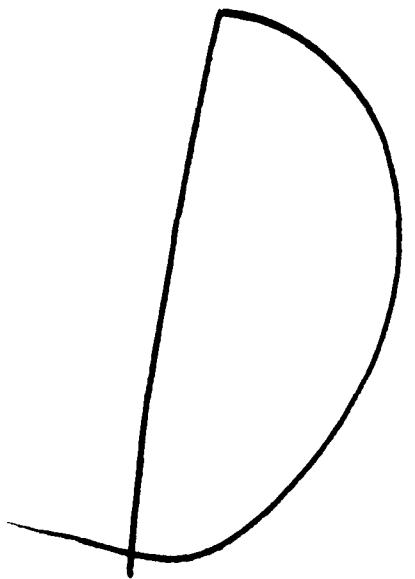
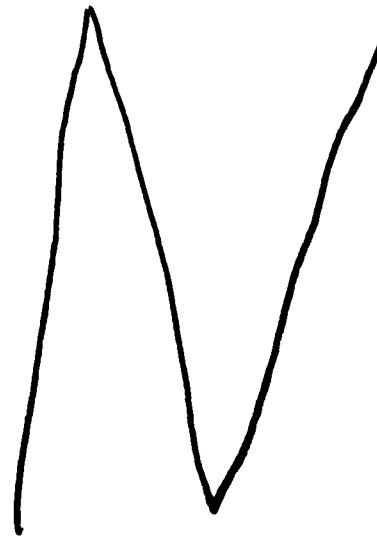
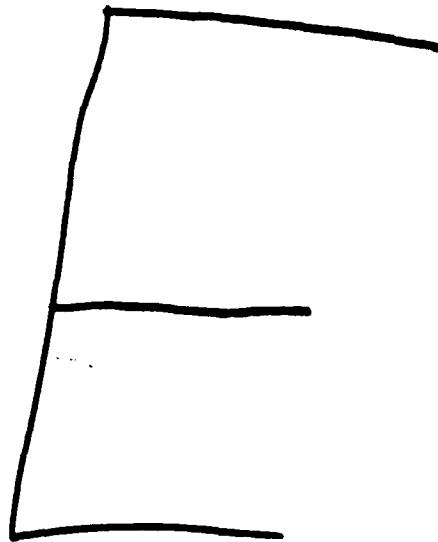
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A hand-drawn circle on the left and the word "Tic" written in a cursive font on the right.